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NAME OF CONTRACTOR:		
Site where work will be performed	State:	County:
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DESCRIPTION OF

(A 24 lines of text
than 70 characters
per line)

(A nature and scope
undertaken,
new facilities
to be acquired
etc.)

Technology development and supporting research in coal and shale processing and combustion are proposed. This sub-program is an integral part of the national program to obtain energy from domestic resources of coal and shale in an environmentally-acceptable manner. The work in the present sub-program will be done on a laboratory scale, thus requiring only moderate cost while still providing the necessary tests, data on materials, component development and chemical process development for the national program, which will involve large pilot and demonstration plants. Both improvement of present conversion and combustion systems and development of new, more efficient systems are proposed. A number of independent small-scale facilities for testing materials,

100 (Use a separate sheet(s). See Item 6. on Instruction Sheet.)

equipment and special chemical processes will

7. MAJOR RESOURCE REQUIREMENTS to be required.

FISCAL YEAR	1975	1976	1977	1978	1979
(1) Scientific					
(2) Technical					
(3) Support					
(4) Other					
TOTALS (and units of such as tons of fuel, kilograms of Show amount of as at right.)	Negligible	Negligible	Negligible	Negligible	Negligible
(1) Government	"	"	"	"	"
(2) Contractors	"	"	"	"	"
(3) Private sources	"	"	"	"	"
(4) Other	"	"	"	"	"
PERSONNEL NEEDED (and unit of Show quantity unit at right.)	(1)			4	

MAXIMUM
 GENEALY
 MINIMUM

IDENTIFICATION NUMBER

0505550310555602

JUSTIFICATION—State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem or attainment of the objective. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits expected to be derived from meeting the objectives or solving the problems for which the project is proposed. Outline the risks/uncertainties (R/U), plans to minimize R/U, and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

Justification

This subprogram involves technology development and supporting research for the larger program on coal and shale processing and combustion. Most of the quantitative data showing the economic benefits of the program and the U. S. foreign policy strategic need for this program are given in the fact sheets for the coal gasification, coal liquefaction, coal combustion and environmental sub-programs in this overall program. These data will not be repeated here. The justification for the present sub-program is that the other sub-programs in this coal and shale program could not be pursued economically or rationally without para-technology development and supporting research in these areas. The chemical processes, kinetic and thermodynamic data, equipment components and materials required in all the various types of coal and shale processing systems have numerous common features and are not truly separate from one system to another. Thus, these are all treated together in this sub-program.

Although the basic feasibility of producing gas and oil from coal and shale has already demonstrated, ultimate economic practicality of these energy sources may depend either on development of new procedures for at least part of these processes or on the gradual improvement of existing processes, materials and equipment. Specific areas where technology development and support research are needed include:

A. Equipment Development

The objective of the equipment development program is to develop, independent of pilot demonstration plants, new reliable coal injections systems for high pressure, char and withdrawal systems, solids monitoring instruments, valves and other key components required for coal processing.

- (1) Lock hoppers, sealing devices, valves and slurry pumps used to feed coal into pressure reaction vessels have been found subject to frequent failure. Reliable feed devices are needed as well as reliable devices to discharge char and ash from the reactor.
- (2) Improved equipment for filtration and separation/purification processes is needed.
- (3) Instrumentation is needed for continuous monitoring and managing of solids and gas in high temperature, high pressure systems.
- (4) Improved stack gas cleaning devices are needed, as described in the subprogram on environmental aspects of coal and shale processing and combustion.

B. Materials

The objective is to develop methods for service life prediction, test methods for materials and improved materials for coal processing equipment.

- (1) Improved materials are needed which can withstand the highly erosive, corrosive, high temperature, high pressure environments used in conversion devices. Critical properties include:
 - (a) Resistance to erosive wear
 - (b) Resistance to stress corrosion cracking
 - (c) Resistance to sulfidation and other general corrosion processes
 - (d) Stability when in contact with molten slag and coal ash/materials
 - (e) Resistance to various types of slow mechanical failure
 - (f) Insulating properties in firebox refractories
 - (g) Heat transfer characteristics in heat exchange tubes
- (2) Short-time methods are needed which will predict long-time mechanical durability, reliability of materials in highly erosive, corrosive environments. Better measurement and methods of measuring critical properties listed above in Section B.1, a-g, are needed to allow improved screening of materials used in conversion devices.

C. Data compilations handbooks and analyses

TECH AND DEVELOPMENT FACT SHEET (Continued)

Level of Effort

- MAXIMUM
- MODERATE
- MINIMUM

IDENTIFICATION NUMBER

0505590.010555602

State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem to be solved. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits won from meeting the objectives or solving the problems for which the project is proposed. Outline the risks/uncertainties involved, and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

Program involves technology development and supporting research for the larger coal and shale processing and combustion. Most of the quantitative data showing benefits of the program and the U. S. foreign policy strategic need for this given in the fact sheets for the coal gasification, coal liquefaction, coal and environmental sub-programs in this overall program. These data will not be repeated. The justification for the present sub-program is that the other sub-programs and shale program could not be pursued economically or rationally without parallel development and supporting research in these areas. The chemical processes, kinetic and thermodynamic data, equipment components and materials required in all the various types of shale processing systems have numerous common features and are not truly separable from one to another. Thus, these are all treated together in this sub-program.

The basic feasibility of producing gas and oil from coal and shale has already been demonstrated. The ultimate economic practicality of these energy sources may depend either on the development of new procedures for at least part of these processes or on the gradual improvement of existing processes, materials and equipment. Specific areas where technology development and research are needed include:

Equipment Development

One objective of the equipment development program is to develop, independent of pilot and demonstration plants, new reliable coal injection systems for high pressure, char and ash handling systems, solids monitoring instruments, valves and other key components required for coal processing.

Coal hoppers, sealing devices, valves and slurry pumps used to feed coal into pressurized reaction vessels have been found subject to frequent failure. Reliable feed devices are needed as well as reliable devices to discharge char and ash from the reactor.

Additional equipment for filtration and separation/purification processes is needed.

Instrumentation is needed for continuous monitoring and managing of solids and gases in high temperature, high pressure systems.

Additional stack gas cleaning devices are needed, as described in the subprogram on environmental aspects of coal and shale processing and combustion.

One objective is to develop methods for service life prediction, test methods for materials and materials for coal processing equipment.

Additional materials are needed which can withstand the highly erosive, corrosive, high temperature, high pressure environments used in conversion devices. Critical properties

- Resistance to erosive wear
 - Resistance to stress corrosion cracking
 - Resistance to sulfidation and other general corrosion processes
 - Stability when in contact with molten slag and coal ash/materials
 - Resistance to various types of slow mechanical failure
 - Refractory properties in firebox refractories
 - Transfer characteristics in heat exchange tubes
- Test methods are needed which will predict long-time mechanical durability and stability of materials in highly erosive, corrosive environments. Better measurement methods of measuring critical properties listed above in Section B.1, a-g, are needed to allow improved screening of materials used in conversion devices.

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- (4) Improved stack gas cleaning devices are needed, as described in the subprogram on environmental aspects of coal and shale processing and combustion.

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The objective is to develop methods for service life prediction, test methods for materials and improved materials for coal processing equipment.

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- (a) Resistance to erosive wear
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 - (c) Resistance to sulfidation and other general corrosion processes
 - (d) Stability when in contact with molten slag and coal ash/materials
 - (e) Resistance to various types of slow mechanical failure
 - (f) Insulating properties in firebox refractories
 - (g) Heat transfer characteristics in heat exchange tubes
- (2) Short-time methods are needed which will predict long-time mechanical durability and reliability of materials in highly erosive, corrosive environments. Better measurement and methods of measuring critical properties listed above in Section B.1, a-g, are needed to allow improved screening of materials used in conversion devices.

C. Data compilations, handbooks and analyses

The objective is to advance the development of coal processing by developing a technical design and economic information data base.

- (1) Data collections and measurements are needed on
 - (a) Properties of coal
 - (b) Kinetic and thermodynamic data on process chemistry of coal conversion
 - (c) Kinetic and thermodynamic data on sulfur compounds and other pollutants resulting from coal conversion

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2. The justification for the present sub-program is that the other sub-programs and shale program could not be pursued economically or rationally without parallel development and supporting research in these areas. The chemical processes, kinetic data, equipment components and materials required in all the various types shale processing systems have numerous common features and are not truly separable from one to another. Thus, these are all treated together in this sub-program.

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Resistance to stress corrosion cracking

Resistance to sulfidation and other general corrosion processes

Stability when in contact with molten slag and coal ash/materials

Resistance to various types of slow mechanical failure

Insulating properties in firebox refractories

Heat transfer characteristics in heat exchange tubes

Short-time methods are needed which will predict long-time mechanical durability and

Stability of materials in highly erosive, corrosive environments. Better measurement

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Compilations, handbooks and analyses

Objective is to advance the development of coal processing by developing a technical, and economic information data base.

Data collections and measurements are needed on

-) Properties of coal
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-) Kinetic and thermodynamic data on sulfur compounds and other pollutants resulting from coal conversion

4

Level of Effort

 MAXIMUM
 MODERATE
 MINIMUM

IDENTIFICATION

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C. JUSTIFICATION: State the specific energy problem or objective, and specify how the proposed work will contribute to the solution of the problem or attainment of the objective. Include reasons for selecting the research needed, and, where other alternatives exist, also include the benefits expected to be derived from meeting the objective, or solving the problem, for which it is proposed. Outline the risks/uncertainty (R/U), plans to minimize R/U, and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

- (a) Engineering data books on coal conversion processes should be published
 - (b) Computer modeling should be done to evaluate conversion processes and where greater accuracy is needed in data.
 - (3) Standard reference materials are needed to aid in chemical analyses for trace p and toxic effluents from coal or shale conversion.
 - (4) Central information center is needed to provide ready access, probably computer, to all data related to coal conversion.
 - (5) Analyses of economic and manpower implication of coal conversion programs are
- D. Catalysts and chemical kinetics for conversion processes.

Improved catalysts are needed for methanation, coal gasification and liquefaction. The chemical kinetics necessary for improved coal processing systems must be

- (1) Methanation catalysts and processes need considerable work, including
 - (a) Mechanism of sulfur poisoning or trace metal poisoning of presently used catalysts and way of altering the catalyst or the process structure to avoid such poisoning
 - (b) Methods of increasing heat flow from catalyst
 - (c) Methods of allowing methanation catalysts to operate at a higher temperature for good methane yield, including methods of preventing carbon deposit at high temperatures.
 - (d) Alternate methods of methanation by catalysts flowing in a process stream, conversion of producer gas to methane in one step, and non-catalytic methods relying on vibrational excitation.
- (2) Catalysts for coal liquefaction processes need work to establish optimum conditions and develop improved catalysts, including
 - (a) Cobalt molybdate catalysts for Synthoil process
 - (b) Catalysts to improve hydrocracking processes and the up-grading of liquids.
 - (c) Improved catalysts for Fisher-Tropsch process
 - (d) Other coal liquefaction catalysts
- (3) Catalysts for the shift reactions in high BTU processes should be optimized.
- (4) Basic studies of chemical kinetics are needed including studies on:
 - (a) Physical/chemical modifications of coal to control its reactivity (intrinsic coal)
 - (b) Combustion of coal with pre-treatment or additives being applied to control emissions.
 - (c) Kinetics and mechanisms of hydrogenation, carbonization and methanation in conversion of coal
 - (d) Reaction of char with carbon dioxide flue gas to yield fuel
 - (e) Extractive-distillation process for ash/coal oil separation
 - (f) Determination of properties and constituents of coal-derived liquid for polymerization and depolymerization.
 - (g) Development of liquid/solid separation methods by filtration, precipitation, centrifugation and use of hydroclones.
 - (h) Kinetics of reactions by solids in contact with gases, including fluidized bed, dilute phase or entrained beds, and fixed beds.
 - (i) Kinetics of coal agglomeration
 - (j) Side and co-product reactions from trace amounts of nitrogen and hydrogen sulfide in methanation, in order to avoid ammonia and

Level of Effort

- MAXIMUM
 ORDINARY
 MINIMUM

IDENTIFICATION NUMBER

0505550310555602

ATTENTION: State the specific energy problem or objective, and specify how the proposed work will contribute to the solution of the problem. Outline the objectives of the project. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits to be derived from meeting the objectives, or solving the problems for which the project is proposed. Outline the risks/uncertainties to minimize HCU, and basis for proceeding in face of HCU. Quantitative data should be used to the fullest extent.

-) Engineering data books on coal conversion processes should be published
-) Computer modeling should be done to evaluate conversion processes and to determine where greater accuracy is needed in data.

Standard reference materials are needed to aid in chemical analyses for trace pollutants and toxic effluents from coal or shale conversion.

Central information center is needed to provide ready access, probably computer-aided, to all data related to coal conversion.

Analyses of economic and manpower implication of coal conversion programs are needed.

Catalysts and chemical kinetics for conversion processes.

Improved catalysts are needed for methanation, coal gasification and liquefaction, and chemical kinetics necessary for improved coal processing systems must be developed.

Methanation catalysts and processes need considerable work, including

- (a) Mechanism of sulfur poisoning or trace metal poisoning of presently used nickel-based catalysts and way of altering the catalyst or the process stream to avoid such poisoning
- (b) Methods of increasing heat flow from catalyst
- (c) Methods of allowing methanation catalysts to operate at a higher temperature with good methane yield, including methods of preventing carbon deposition at higher temperatures.
- (d) Alternate methods of methanation by catalysts flowing in a process stream, direct conversion of producer gas to methane in one step, and non-catalytic methanation methods relying on vibrational excitation.

Catalysts for coal liquefaction processes need work to establish optimum conditions and develop improved catalysts, including

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- (c) Improved catalysts for Fisher-Tropsch process
- (d) Other coal liquefaction catalysts

Catalysts for the shift reactions in high BTU processes should be optimized.

Basic studies of chemical kinetics are needed including studies on:

- (a) Physical/chemical modifications of coal to control its reactivity (pretreatment of coal)
- (b) Combustion of coal with pre-treatment or additives being applied to reduce pollutant emissions.
- (c) Kinetics and mechanisms of hydrogenation, carbonization and methanation reactions in conversion of coal
- (d) Reaction of char with carbon dioxide flue gas to yield fuel
- (e) Extractive-distillation process for ash/coal oil separation
- (f) Determination of properties and constituents of coal-derived liquids and rates of polymerization and depolymerization.
- (g) Development of liquid/solid separation methods by filtration, precipitation, centrifugation and use of hydroclones.
- (h) Kinetics of reactions by solids in contact with gases, including fluidized beds, dilute phase or entrained beds, and fixed beds.
- (i) Kinetics of coal agglomeration

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(4) Further information concerning the use of process flow, process, process, computer used to all data related to coal conversion.

(5) Analyses of economic and manpower implication of coal conversion programs are needed.

D. Catalysts and chemical kinetics for conversion processes.

Improved catalysts are needed for methanation, coal gasification and liquefaction, and the chemical kinetics necessary for improved coal processing systems must be developed

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- (h) Kinetics of reactions by solids in contact with gases, including fluidized b, dilute phase or entrained beds, and fixed beds.
- (i) Kinetics of coal agglomeration
- (j) Side and co-product reactions from trace amounts of nitrogen and hydrogen in product stream following methanation, in order to avoid ammonia formation

E. Process Development.

The objective is to advance the development of coal processing by research on the uni operations of coal processing (i.e., fluidized bed technology) and by developing new processes for producing hydrocarbons from coal.

Processes which should be investigated include

- (1) Fast fluidized bed reactions for rapid carbonization or hydrogenation
- (2) Fluidized bed or entrained flow combustion reactions with sulfur scavengers
- (3) Improved plant "front end" technology, including new methods for handling, conveyanc

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... related to coal conversion.

of economic and manpower implication of coal conversion programs are needed.

and chemical kinetics for conversion processes.

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Methods of increasing heat flow from catalyst

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Alternate methods of methanation by catalysts flowing in a process stream, direct conversion of producer gas to methane in one step, and non-catalytic methanation methods relying on vibrational excitation.

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Improved catalysts for Fisher-Tropsch process

Other coal liquefaction catalysts

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Physical/chemical modifications of coal to control its reactivity (pretreatment of coal)

Combustion of coal with pre-treatment or additives being applied to reduce pollutant emissions.

Kinetics and mechanisms of hydrogenation, carbonization and methanation reactions in conversion of coal

Reaction of char with carbon dioxide flue gas to yield fuel

Extractive-distillation process for ash/coal oil separation

Determination of properties and constituents of coal-derived liquids and rates of polymerization and depolymerization.

Development of liquid/solid separation methods by filtration, precipitation, centrifugation and use of hydroclones.

Kinetics of reactions by solids in contact with gases, including fluidized beds, dilute phase or entrained beds, and fixed beds.

Kinetics of coal agglomeration

Side and co-product reactions from trace amounts of nitrogen and hydrogen in product stream following methanation, in order to avoid ammonia formation

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drying, surface oxidation, etc., processes.

- (5) Study of coal/oil slurries (formation, stability, flow characteristics, etc.)
- (6) Combustion of chars and synthetic fuels
- (7) Acetylene from coal by the arc-coal process
- (8) Methods of handling coal fines in gasifiers
- (9) Methods for removal of char and tar from reactors
- (10) Unit operations involved in liquefaction
- (11) High pressure fluidized bed reactors
- (12) Multiphase flow characteristics in coal liquefaction
- (13) Useful byproducts from coal conversion processes
- (14) Emission control processes, as described in sub-program on environmental aspects and shale processing and combustion

F. Hydrogen production for use in coal conversion processes

The objective is the development of low-cost on-site processes for producing hydrogen rich gases from non-petroleum sources for near and mid-term coal and oil shale projects.

1. Candidate processes should be investigated on a laboratory scale first with a plant only for the most promising techniques.

- (a) Steam-oxygen process for H_2 production from coal and residue chars (ready for plant studies)
- (b) Methods using thermochemical cycles at low temperatures so that heat from net or other process heat might be used to drive the H_2 reaction (laboratory scale at this time)
- (c) Electrothermal generation from coal
- (d) Steam-iron process

The recommended spending rate for FY75 benefits and risks/uncertainties in each of the objectives areas A-F above are:

A. Equipment (\$8 M in FY75)

1. Benefits--Frequent breakdown of equipment or inefficient operation coal and shale conversion plants because of poor equipment could easily raise costs 10%. If coal became a primary source of U.S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/uncertainties--Development of equipment is subject to some uncertainties: high temperature reactors under pressure are often involved. Nevertheless, the possibility of significant achievement appears good, as high pressure technology in U. S. is an active field.

B. Materials (\$7 M in FY 75)

1. Benefits -- Fracture, erosion and corrosion of materials used in coal conversion might require unnecessarily frequent rebuilding of conversion reactors and their accessories and possibly cause frequent unexpected shut downs, all of which could easily raise costs 10%. If coal and shale conversion became a primary source of gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/Uncertainties -- Monitoring deterioration and measurement of properties of materials is a straight forward procedure. The major uncertainty here lies in whether new and improved test methods and materials can be developed. Based on previous experience, the possibility of significant achievement here appears good.

C. Data compilations, handbooks and analyses (\$3M in FY 75)

1. Benefits -- In designing pilot and demonstration plants, engineering data are needed on the construction materials used, coal itself and chemical processes. Economic analyses also are needed. Serious engineering problems and inefficient designs result from lack of suitably analyzed data, which might lead to long delays in construction.

MAXIMUM
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4. State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem (the objective). Include reasons for selecting the recommended approach over other alternatives. Also include the benefits to be realized from meeting the objectives or solving the problems for which the project is proposed. Outline the risks/uncertainties involved (R/U), and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

surface oxidation, etc., processes.

of coal/oil slurries (formation, stability, flow characteristics, etc.)

production of Chars and synthetic fuels

production of gas from coal by the arc-coal process

methods of handling coal fines in gasifiers

methods for removal of char and tar from reactors

operations involved in liquefaction

high pressure fluidized bed reactors

gas flow characteristics in coal liquefaction

hydrocarbons from coal conversion processes

pollution control processes, as described in sub-program on environmental aspects of coal shale processing and combustion

hydrogen production for use in coal conversion processes

Objective is the development of low-cost on-site processes for producing hydrogen from non-petroleum sources for near and mid-term coal and oil shale processing. Candidate processes should be investigated on a laboratory scale first with a pilot plant only for the most promising techniques.

steam-oxygen process for H_2 production from coal and residue chars (ready for pilot plant studies)

methods using thermochemical cycles at low temperatures so that heat from methanation or other process heat might be used to drive the H_2 reaction (laboratory scale only at this time)

electrothermal generation from coal

blast-furnace iron process

Estimated spending rate for FY75 benefits and risks/uncertainties in each of the areas A-F above are:

Benefit (\$8 M in FY75)

Benefits--Frequent breakdown of equipment or inefficient operation coal and shale conversion plants because of poor equipment could easily raise costs 10%. If coal and shale are a primary source of U. S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.

Risks/uncertainties--Development of equipment is subject to some uncertainties since high temperature reactors under pressure are often involved. Nevertheless, the possibility of significant achievement appears good, as high pressure technology in the U. S. is an active field.

Costs (\$7 M in FY 75)

Risks -- Fracture, erosion and corrosion of materials used in coal conversion device may require unnecessarily frequent rebuilding of conversion reactors and their accessories and possibly cause frequent unexpected shut downs, all of which could easily raise costs 10%. If coal and shale conversion became a primary source of U. S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.

Risks/Uncertainties -- Monitoring deterioration and measurement of properties of materials is a straight forward procedure. The major uncertainty here lies in whether new and improved test methods and materials can be developed. Based on previous experience, the possibility of significant achievement here appears good. Compilations, handbooks and analyses (\$3M in FY 75)

Benefits -- In designing pilot and demonstration plants, engineering data are needed on construction materials used, coal itself and chemical processes. Economic analyses also are needed. Serious engineering problems and inefficient designs can result from lack of suitably analyzed data, which might lead to long delays in achieving profitable operation. Loss of time even in a relatively small plant could be significant.

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- (10) Unit operations involved in liquefaction
 - (11) High pressure fluidized bed reactors
 - (12) Multiphase flow characteristics in coal liquefaction
 - (13) Useful byproducts from coal conversion processes
 - (14) Emission control processes, as described in sub-program on environmental aspects of coal and shale processing and combustion

F. Hydrogen production for use in coal conversion processes

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- (a) Steam-oxygen process for H₂ production from coal and residue chars (ready for pilot plant studies)
- (b) Methods using thermochemical cycles at low temperatures so that heat from methanol or other process heat might be used to drive the H₂ reaction (laboratory scale at this time)
- (c) Electrothermal generation from coal
- (d) Steam-iron process

The recommended spending rate for FY75 benefits and risks/uncertainties in each of the objectives areas A-F above are:

A. Equipment (\$8 M in FY75)

1. Benefits--Frequent breakdown of equipment or inefficient operation coal and shale conversion plants because of poor equipment could easily raise costs 10%. If coal became a primary source of U. S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/uncertainties--Development of equipment is subject to some uncertainties high temperature reactors under pressure are often involved. Nevertheless, the possibility of significant achievement appears good, as high pressure technology U. S. is an active field.

B. Materials (\$7 M in FY 75)

1. Benefits -- Fracture, erosion and corrosion of materials used in coal conversion might require unnecessarily frequent rebuilding of conversion reactors and their accessories and possibly cause frequent unexpected shut downs, all of which could easily raise costs 10%. If coal and shale conversion became a primary source of gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/Uncertainties -- Monitoring deterioration and measurement of properties of materials is a straight forward procedure. The major uncertainty here lies in whether new and improved test methods and materials can be developed. Based on previous experience, the possibility of significant achievement here appears good.

C. Data compilations, handbooks and analyses (\$5M in FY 75)

1. Benefits -- In designing pilot and demonstration plants, engineering data are needed on the construction materials used, coal itself and chemical processes. Economic analyses also are needed. Serious engineering problems and inefficient designs result from lack of suitably analyzed data, which might lead to long delays in suitable operation. Loss of time even in an orderly program is irreplaceable, and inefficient design or the need for costly design could easily raise costs for pilot and demonstration plants by 20 to 50 per cent, equal to many millions of dollars.
2. Risks/Uncertainties -- The collection and analysis of data although time-consuming is more certain of accomplishment than most R&D work.

D. Catalysts and chemical kinetics for conversion processes (\$13M in FY75)

1. Benefits -- Some of the most important reactions in coal and liquefaction depend on catalysts with catalytic stages representing perhaps 30% the total cost in these processes. Even minor improvements in catalysis or in chemical processes would result in massive savings in coal and shale processing.
2. Risks/uncertainties -- As with all research programs, the fractional improvement that will be accomplished in this area cannot be definitely stated. Still definite

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pressure fluidized bed reactors
multiphase flow characteristics in coal liquefaction
gaseous byproducts from coal conversion processes
emission control processes, as described in sub-program on environmental aspects of coal
and shale processing and combustion

Hydrogen production for use in coal conversion processes

Objective is the development of low-cost on-site processes for producing hydrogen-
gases from non-petroleum sources for near and mid-term coal and oil shale processing.
Candidate processes should be investigated on a laboratory scale first with a pilot
plant only for the most promising techniques.

Steam-oxygen process for H_2 production from coal and residue chars (ready for pilot
plant studies)

Methods using thermochemical cycles at low temperatures so that heat from methanation
or other process heat might be used to drive the H_2 reaction (laboratory scale only
at this time)

Electrothermal generation from coal

Steam-iron process

Recommended spending rate for FY75 benefits and risks/uncertainties in each of the
areas A-F above are:

Hydrogen (\$3 M in FY75)

Benefits--Frequent breakdown of equipment or inefficient operation coal and shale con-
version plants because of poor equipment could easily raise costs 10%. If coal and shale
became a primary source of U. S. gas and oil, a 10% reduction in price would
result in billions of dollars of cost savings.

Risks/uncertainties--Development of equipment is subject to some uncertainties since
high temperature reactors under pressure are often involved. Nevertheless, the
possibility of significant achievement appears good, as high pressure technology in the
U. S. is an active field.

Hydrogen (\$7 M in FY 75)

Benefits -- Fracture, erosion and corrosion of materials used in coal conversion devices
might require unnecessarily frequent rebuilding of conversion reactors and their
accessories and possibly cause frequent unexpected shut downs, all of which could
easily raise costs 10%. If coal and shale conversion became a primary source of U. S.
gas and oil, a 10% reduction in price would result in billions of dollars of cost
savings.

Risks/Uncertainties -- Monitoring deterioration and measurement of properties of
materials is a straight forward procedure. The major uncertainty here lies in
whether new and improved test methods and materials can be developed. Based on
previous experience, the possibility of significant achievement here appears good.

Hydrogen (\$3M in FY 75)

Benefits -- In designing pilot and demonstration plants, engineering data are needed
on the construction materials used, coal itself and chemical processes. Economic
analyses also are needed. Serious engineering problems and inefficient designs can
result from lack of suitably analyzed data, which might lead to long delays in achiev-
ing profitable operation. Loss of time even in an orderly program is irreplaceable, wherea-
s an inefficient design or the need for costly design could easily raise costs for pilot
and demonstration plants by 20 to 50 per cent, equal to many millions of dollars.

Risks/Uncertainties -- The collection and analysis of data although time-consuming
is more certain of accomplishment than most R&D work.

Catalysts and chemical kinetics for conversion processes (\$13M in FY75)

Benefits -- Some of the most important reactions in coal and liquefaction depend on
catalysts with catalytic stages representing perhaps 30% the total cost in these
processes. Even minor improvements in catalysis or in chemical processes would result
in massive savings in coal and shale processing.

Risks/uncertainties -- As with all research programs, the fractional improvement that
will be accomplished in this area cannot be definitely stated. Still definite

ENERGY RESEARCH AND DEVELOPMENT FACT SHEET (Continued)

Level of Effort:

- MAXIMUM
 ORDINARY
 MINIMUM

IDENTIFICATION

05055503105556

D. JUSTIFICATION - State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem or attainment of the objective. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits expected to be derived from meeting the objectives or solving the problems for which the project is proposed. Outline the risks/uncertainties (R/U), plan to minimize R/U, and laws for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

scientific breakthrough.

E. Process Development (\$20M in FY75)

1. Benefits -- Even in existing pilot plants, a number of individual process been optimized. Individual optimization of various processes as listed a easily reduce costs by 10%. If coal and shale conversion become a primary U. S. gas and oil, a 10% reduction in price could result in billions of cost savings.
2. Risks/uncertainties -- Development programs have inherent uncertainties, listed in this section should yield significant results.

F. Hydrogen Production for use in Coal Conversion Processes (\$4M in FY75)

1. Benefits -- The economical production of hydrogen from residue chars or essential for the economical development of the Synthoil coal liquefaction the Hydroco and Hygas coal gasification processes. In general, sources of necessary for any process which converts coal to gas or oil, since the difference between coal and these other fuels is the deficiency of hydrogen.
2. Risks/uncertainties -- A number of hydrogen production processes can be technically feasible. The main uncertainty lies in the selection of an one for particular coal conversion systems.

RESEARCH AND DEVELOPMENT FACT SHEET (Continued)

Level of Effort:

- MAXIMUM
- MODERATELY
- MINIMUM

IDENTIFICATION NUMBER

0505550310555602

State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem or objective. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits to be realized from meeting the objectives or solving the problem for which the project is proposed. Outline the risks/uncertainties involved, and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

breakthrough.

Development (\$20M in FY75)

Benefits -- Even in existing pilot plants, a number of individual processes have not been optimized. Individual optimization of various processes as listed above could potentially reduce costs by 10%. If coal and shale conversion become a primary source of gas and oil, a 10% reduction in price could result in billions of dollars of savings.

Risks/uncertainties -- Development programs have inherent uncertainties, but all items listed in this section should yield significant results.

Hydrogen Production for use in Coal Conversion Processes (\$4M in FY75)

Benefits -- The economical production of hydrogen from residue chars or coal is essential for the economical development of the Synthoil coal liquefaction process and Hydrane and Hygas coal gasification processes. In general, sources of hydrogen are necessary for any process which converts coal to gas or oil, since the chief chemical difference between coal and these other fuels is the deficiency of hydrogen in coal.

Risks/uncertainties -- A number of hydrogen production processes can be shown to be economically feasible. The main uncertainty lies in the selection of an economic process for particular coal conversion systems.

ENERGY RESEARCH AND DEVELOPMENT FACT SHEET (Continued)

Coal and Shal
Supporting Res

SCHEDULE (Include major facilities and major equipment. Indicate dates by Fiscal Year and Quarter).

a. DEVELOPMENT MILESTONES (number each consecutively)

(Limit Title of Milestones to 67 characters and spaces)

b. DATES

Start		Complete	
FY	Q	FY	Q

(Continue to next column)

Coal and Shale Processing Combustion
Supporting Research

MAXIMUM
 ORDERLY
 MINIMUM

IDENTIFICATION NUMBER

05 05 55 03 10 55 54 02

Decision

a. ~~XXXXXXXXXX~~ MILESTONES (continued)

(Limit Title of Milestone to 60 characters and spaces)

b. DATES			MILESTONE	FY	C	FY	C
Start	Complete						
Q	FY	Q					
<u>Decision Milestones for Individual Projects</u>							
			1. Start of coal processing supporting research projects.	75	1	77	1
			2. Initial decision point on coal processing support projects.	78	1	80	1
			3. Decision point on second generation coal processing support.	80	1	80	1
<p><u>NOTE:</u> Maximum, orderly and minimum programs differ primarily in level of effort. This results in varying the number of projects and the options explored but does not change the schedule of decision points for individual projects.</p>							

(Continue on separate sheet)

Page 1 of 1

2

9. SUMMARY OF FUNDING REQUIREMENTS—Federal Government Only (in millions of dollars)

Continuation of
Supporting I

Requirement	(1)		(2)		(3)	
	FY 1974 (Non-Add)		FY 1975		FY 1976	
	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays
a. OPERATING (See p. for detail) Total Operating Requirements (from Detail Sheet)						
b. CONSTRUCTION (See p. for detail) Total Construction Requirements (from Detail Sheet)						
c. EQUIPMENT (See p. for detail) Total Equipment Requirements (from Detail Sheet)						
d. GRAND TOTAL—OBLIGATIONS	12		55		70	
e. GRAND TOTAL—OUTLAYS		12		55		70

NOTE: If cooperative programs are proposed, indicate the amount by year of both private and Federal government funds; responsibility should be appropriately provided in the above format.

Search

- MAXIMUM
- ORDERLY
- MINIMUM

IDENTIFICATION NO. 05 05 55 02 10 55 54 00

(4) FY 1977		(5) FY 1978		(6) FY 1979		(7) Subtotal FY 1975-79		(8) Balance To Complete		(9) Total Encumbr FY 1975-79	
Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays
80		80		80		365		200		565	
	80		80		80		365		200		565

g. A brief description of the Cooperative programs and the rationale for the division of funding

ENERGY RESEARCH AND DEVELOPMENT FACT SHEET (Continued)

Coal and
Supporting

B. DETAIL OF FUNDING REQUIREMENTS- Federal Government Only (in millions of dollars)

a. OPERATING

ITEM	(1) FY 1974 (Non-Add)		(2) FY 1975		(3) FY 1976		C
	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	
TOTAL (Carry forward to summary sheet) >	12	12	55	55	70	70	
1. Name of Performing Organization: AEC							
MANPOWER							
MATERIALS							
MAJOR PROCUREMENTS							
ALL OTHER							
TOTAL FOR THIS PERFORMING ORGANIZATION			12	12	14	14	1
2. Name of Performing Organization: Bureau of Mines--DOI							
MANPOWER							
MATERIALS							
MAJOR PROCUREMENTS							
ALL OTHER							
TOTAL FOR THIS PERFORMING ORGANIZATION			9	9	10	10	
3. Name of Performing Organization: National Bureau of Standards--NCC							
MANPOWER							
MATERIALS							
MAJOR PROCUREMENTS							
ALL OTHER							
TOTAL FOR THIS PERFORMING ORGANIZATION			3	3	4	4	4
4. Name of Performing Organization: OOR Contractors, NSF Contractors, NASA, Bituminous Coal Research Corp. and others							
MANPOWER							
MATERIALS							
MAJOR PROCUREMENTS							
ALL OTHER							
TOTAL FOR THIS PERFORMING ORGANIZATION			31	31	42	42	50

(Continue on

Oil and Shale Processing and Combustion
Supporting Research

Level of Effort:

- MAXIMUM
- ORDERLY
- MINIMUM

IDENTIFICATION NUMBER
05 05 55 03 10 55 86 23

76	(4) FY 1977		(5) FY 1978		(6) FY 1979		(7) SUBTOTAL FY 1975-79		(8) BALANCE TO COMPLETE		(9) TOTAL ENDS FY 1975-79	
	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.
70	80	80	80	80	80	80	365	365	200	200	565	565
14	15	15	15	15	15	15	72	72			72	72
10	11	11	11	11	11	11	53	53			53	53
	4	4	4	4	4	4	19	19			19	19
2	50	50	50	50	50	50	223	223			223	223

(Continue on Separate Sheet)

Page of

2

STATE OF FUNDING REQUIREMENTS—Federal Government Only (in millions of dollars)

B. CONSTRUCTION

N/A ITEM	(1) FY 1974 (Non-Add)		(2) FY 1975		(3) FY 1976		C
	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	
TOTAL (Carry forward to summary sheet) >							
<p>Title of project, Location (State and County) and Total Estimated Cost (TEC) for each item consecutively. Every project costing one million dollars or more should be separately identified with a brief statement of why it is required.</p>							
<p>TITLE OF PROJECT (Not to exceed 30 characters and spaces.) ()</p>							
State	County	TEC (in millions)					
Statements:							
TITLE OF PROJECT (Not to exceed 30 characters and spaces.) ()							
State	County	TEC (in millions)					
Statements:							
TITLE OF PROJECT (Not to exceed characters and spaces.) ()							
State	County	TEC (in millions)					
Statements:							

(Continue on Sep

- MAXIMUM
- ORDERLY
- MINIMUM

05 05 55 03 10 55 56 02

376	(4) FY 1977		(5) FY 1978		(6) FY 1979		(7) SUBTOTAL FY 1975-79		(8) BALANCE TO COMPLETE		(9) TOTAL EXCLUS FY 1974-1979	
	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.

Continue on Separate Sheet

Page of

2

ENERGY RESEARCH & DEVELOPMENT FACT SHEET (Continued)

DETAIL OF FUNDING REQUIREMENTS—Federal Government Only (in millions of dollars)

c. EQUIPMENT

ITEM <i>(Each item not to exceed 60 characters and spaces)</i>	(1) FY 1974 (Non-Add)		(2) FY 1975		(3) FY 1976		FY 1977 Obls.
	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	
Each major performing organization, with total equipment funds, with a separate indication of each item of equipment for one-half million dollars or more. Included in 9a.	TOTAL (Carry forward to summary sheet) >						

(Continue on Separate Sheet)

Level of Effort:

MAXIMUM

ORDERLY

MINIMUM

IDENTIFICATION NUMBER

05 05 55 03 10 55 56 02

(4) FY 1977		(5) FY 1978		(5) FY 1979		(7) SUBTOTAL FY 1975-79		(8) BALANCE TO COMPLETE		(9) TOTAL ENCUMBRANCE FY 1974 (C) & 7 & 9	
Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays

(Continue on Separate Sheet)

Page of

FACT SHEET

MANPOWER
 OTHER

1. IDENTIFICATION NO.
 05 05 55 03 10 55

2. a. PROJECT TITLE: Coal and shale conversion
 b. SUB-PROGRAM: Energy Research in Conversion of Coal and Shale Processing-Carbon
 c. PROGRAM AGENCY: Department of Energy, Office of Energy Research and Development
 d. REPORT: AEC-OR-77-100

3. CONTRACTOR AND SITE
 (No more than 40 characters and spaces for name of contractor, use standard abbreviation for state up to 16 characters and spaces for county.)

NAME OF CONTRACTOR: Various contractors in many locations both in	State:	County:
Site where work will be performed:		
NAME OF CONTRACTOR:	State:	County:
Site where work will be performed:		
NAME OF CONTRACTOR:	State:	County:
Site where work will be performed:		
NAME OF CONTRACTOR:	State:	County:
Site where work will be performed:		

4. BRIEF DESCRIPTION OF PROPOSAL
 (No more than 24 lines of text and no more than 70 characters and spaces per line)
 Briefly outline nature and scope of work to be undertaken, including any new facilities which may have to be acquired or constructed.

Technology development and supporting research in coal and shale processing and combustion are proposed. This sub-program is an integral part of the national program to obtain energy from our resources of coal and shale in an environmentally-acceptable manner. The work in the present sub-program will be done on a laboratory scale, thus requiring only moderate cost while still providing necessary tests, data on materials, component development and chemical process development for the national program, which will involve large pilot and demonstration plants. Both improvements in present conversion and combustion systems and development of new more efficient systems are proposed.

A number of independent small-scale facilities for testing mat

5. JUSTIFICATION (Use a separate sheet(s). See Item 6. on Instruction Sheet.)
 equipment and special chemical process

7. MAJOR RESOURCE REQUIREMENTS BE REQUIRED.

RESOURCE	FISCAL YEAR	1975	1976	1977	1978	
a. MANPOWER (in man years)	(1) Scientific					
	(2) Technical					
	(3) Support					
	(4) Other					
b. RAW MATERIALS (List materials and units of measure below, such as tons of coal, barrels of oil, kilograms of uranium, etc. Show amount of each in columns at right.)		Negligible	Negligible	Negligible	Negligible	Neg
c. LAND AREA REQUIRED (in acres)	(1) Government	"	"	"	"	
	(2) Private	"	"	"	"	
	(3) Privately-owned	"	"	"	"	
	(4) Other	"	"	"	"	
d. OTHER RESOURCES NEEDED						

MAJOR
 ORDINARY
 OF PROGRAMS

05 05 55 03 10 50 10 02

NAME OF CONTRACTOR: AMERICAN ENERGY CONVERSION CORPORATION
 ADDRESS: AMERICAN ENERGY CONVERSION CORPORATION
101-102, 450, 101-102 of 1, 101, 101-102, 101 (Current objectives)

CHARACTER AND SCOPE OF RESEARCH AND SPONSORING AGENCY	NAME OF CONTRACTOR:	AMERICAN ENERGY CONVERSION CORPORATION	
	Site where work will be performed	State:	County: and extramural.
	NAME OF CONTRACTOR:		
	Site where work will be performed	State:	County:
	NAME OF CONTRACTOR:		
	NAME OF CONTRACTOR:		
	Site where work will be performed	State:	County:
	NAME OF CONTRACTOR:		
	Site where work will be performed	State:	County:
	NAME OF CONTRACTOR:		
	Site where work will be performed	State:	County:

DESCRIPTION OF PROJECT: Technology development and supporting research in coal and shale processing and combustion are proposed. This sub-program is an integral part of the national program to obtain energy from domestic resources of coal and shale in an environmentally-acceptable manner. The work in the present sub-program will be done on a laboratory scale, thus requiring only moderate cost while still providing the necessary tests, data on materials, component development and chemical process development for the national program, which will involve large pilot and demonstration plants. Both improvement of present conversion and combustion systems and development of new, more efficient systems are proposed. A number of independent small-scale facilities for testing materials,

2

equipment and special chemical processes will be required. See Item 6. on Instruction Sheet.)

7. MAJOR RESOURCE REQUIREMENTS BE REQUIRED.

ANNUAL YEAR	1975	1976	1977	1978	1979
Scientific					
Technical					
Support					
Other					
Personnel (in thousands of dollars of program or amount of cost.)	Negligible	Negligible	Negligible	Negligible	Negligible
Equipment	"	"	"	"	"
Materials	"	"	"	"	"
Travel	"	"	"	"	"
Other	"	"	"	"	"
TOTAL REQUIRED					

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NAME OF CONTRACTOR		
Site where work will be performed	State	County
NAME OF CONTRACTOR		
Site where work will be performed	State	County
NAME OF CONTRACTOR		
Site where work will be performed	State	County

BRIEF DESCRIPTION OF PROPOSAL

(No more than 25 lines of text and no more than 70 characters and spaces per line)

Briefly explain nature and scope of work to be performed, including any new facilities which may have to be explored or constructed.

Technology development and supporting research in coal and shale processing and combustion are proposed. This sub-program is an integral part of the national program to obtain energy from domestic resources of coal and shale in an environmentally-acceptable manner. The work in the present sub-program will be done on a laboratory scale, thus requiring only moderate cost while still providing the necessary tests, data on materials, component development and chemical process development for the national program, which will involve large pilot and demonstration plants. Both improvement of present conversion and combustion systems and development of new, more efficient systems are proposed. A number of independent small-scale facilities for testing materials,

JUSTIFICATION (Use a separate sheet). See Item 6, on Instruction Sheet.)

equipment and special chemical processes will

7. MAJOR RESOURCE REQUIREMENTS TO BE FURNISHED.

RESOURCE	FISCAL YEAR	1975	1976	1977	1978	1979
MANPOWER (in man years)	(1) Scientific					
	(2) Technical					
	(3) Support					
	(4) Other					
RAW MATERIALS (List materials and units of measure below, such as tons of coal, barrels of oil, kilograms of uranium, etc. Show amount of each in columns at right.)		Negligible	Negligible	Negligible	Negligible	Negligible
LAND AREA REQUIRED (in acres)	(1) Total	"	"	"	"	"
	(2) Government	"	"	"	"	"
	(3) Private ownership	"	"	"	"	"
	(4) Other	"	"	"	"	"
OTHER RESOURCES NEEDED (Specify item and unit of measure below. Show quantity of each in columns at right.)		(1)	3			

N/A

MAXIMUM
 MODERATELY
 MINIMUM

IDENTIFICATION NUMBER
0505550310555602

JUSTIFICATION: State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem. Justify the proposal by comparing the economic advantages of the proposed program over other alternatives. Also include the benefits expected to be realized, complete with estimates or a description of the risks for which the project is proposed. Outline the risks/uncertainties involved, plans to minimize R&D, and plans for proceeding in face of R&D. Quantitative data should be used to the fullest extent.

Justification

This subprogram involves technology development and supporting research for the large program on coal and shale processing and combustion. Most of the quantitative data showing the economic benefits of the program and the U. S. foreign policy strategic need for this program are given in the fact sheets for the coal gasification, coal liquefaction, coal combustion and environmental sub-programs in this overall program. These data will not be repeated here. The justification for the present sub-program is that the other sub-programs in this coal and shale program could not be pursued economically or rationally without present technology development and supporting research in these areas. The chemical processes, and thermodynamic data, equipment components and materials required in all the various types of coal and shale processing systems have numerous common features and are not truly separated from one system to another. Thus, these are all treated together in this sub-program.

Although the basic feasibility of producing gas and oil from coal and shale has already been demonstrated, ultimate economic practicality of these energy sources may depend either on development of new procedures for at least part of these processes or on the gradual improvement of existing processes, materials and equipment. Specific areas where technology development and support research are needed include:

A. Equipment Development

The objective of the equipment development program is to develop, independent of pilot demonstration plants, new reliable coal injection systems for high pressure, char and withdrawal systems, solids monitoring instruments, valves and other key components required for coal processing.

- (1) Lock hoppers, sealing devices, valves and slurry pumps used to feed coal into processing reaction vessels have been found subject to frequent failure. Reliable feed devices are needed as well as reliable devices to discharge char and ash from the reactor.
- (2) Improved equipment for filtration and separation/purification processes is needed.
- (3) Instrumentation is needed for continuous monitoring and managing of solids and gas in high temperature, high pressure systems.
- (4) Improved stack gas cleaning devices are needed, as described in the subprogram on environmental aspects of coal and shale processing and combustion.

B. Materials

The objective is to develop methods for service life prediction, test methods for new and improved materials for coal processing equipment.

- (1) Improved materials are needed which can withstand the highly erosive, corrosive temperature, high pressure environments used in conversion devices. Critical properties include:
 - (a) Resistance to erosive wear
 - (b) Resistance to stress corrosion cracking
 - (c) Resistance to sulfidation and other general corrosion processes
 - (d) Stability when in contact with molten slag and coal ash/materials
 - (e) Resistance to various types of slow mechanical failure
 - (f) Insulating properties in firebox refractories
 - (g) Heat transfer characteristics in heat exchange tubes
- (2) Short-time methods are needed which will predict long-time mechanical durability and reliability of materials in highly erosive, corrosive environments. Better methods and methods of measuring critical properties listed above in Section B.1, a-g, are needed to allow improved screening of materials used in conversion devices.

TION: State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem if of the objective. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits to be derived from meeting the objectives or solving the problems for which the project is proposed. Outline the risks/uncertainties to minimize R/U, and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

tion

subprogram involves technology development and supporting research for the larger on coal and shale processing and combustion. Most of the quantitative data showing economic benefits of the program and the U. S. foreign policy strategic need for this are given in the fact sheets for the coal gasification, coal liquefaction, coal and environmental sub-programs in this overall program. These data will not be here. The justification for the present sub-program is that the other sub-programs coal and shale program could not be pursued economically or rationally without parallel development and supporting research in these areas. The chemical processes, kinetic thermodynamic data, equipment components and materials required in all the various types coal and shale processing systems have numerous common features and are not truly separable system to another. Thus, these are all treated together in this sub-program.

Although the basic feasibility of producing gas and oil from coal and shale has already been established, ultimate economic practicality of these energy sources may depend either on the development of new procedures for at least part of these processes or on the gradual improvement of existing processes, materials and equipment. Specific areas where technology development and supporting research are needed include:

Equipment Development

The objective of the equipment development program is to develop, independent of pilot and demonstration plants, new reliable coal injection systems for high pressure, char and ash handling systems, solids monitoring instruments, valves and other key components required for coal processing.

Lock hoppers, sealing devices, valves and slurry pumps used to feed coal into pressure reaction vessels have been found subject to frequent failure. Reliable feed devices are needed as well as reliable devices to discharge char and ash from the reactor.

Improved equipment for filtration and separation/purification processes is needed.

Instrumentation is needed for continuous monitoring and managing of solids and gases in high temperature, high pressure systems.

Improved stack gas cleaning devices are needed, as described in the subprogram on environmental aspects of coal and shale processing and combustion.

Materials

The objective is to develop methods for service life prediction, test methods for materials and improved materials for coal processing equipment.

Improved materials are needed which can withstand the highly erosive, corrosive, high temperature, high pressure environments used in conversion devices. Critical properties include:

- Resistance to erosive wear
- Resistance to stress corrosion cracking
- Resistance to sulfidation and other general corrosion processes
- Stability when in contact with molten slag and coal ash/materials
- Resistance to various types of slow mechanical failure
- Insulating properties in firebox refractories
- Heat transfer characteristics in heat exchange tubes

Short-time methods are needed which will predict long-time mechanical durability and reliability of materials in highly erosive, corrosive environments. Better measurements and methods of measuring critical properties listed above in Section B.1, a-g, are needed to allow improved screening of materials used in conversion devices.

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in this coal and shale program could not be pursued economically or rationally without p technology development and supporting research in these areas. The chemical processes, and thermodynamic data, equipment components and materials required in all the various t of coal and shale processing systems have numerous common features and are not truly sep from one system to another. Thus, these are all treated together in this sub-program.

Although the basic feasibility of producing gas and oil from coal and shale has alrea demonstrated, ultimate economic practicality of these energy sources may depend either o development of new proceduras for at least part of these processes or on the gradual imp of existing processes, materials and equipment. Specific areas where technology develop and support research are needed include:

A. Equipment Development

The objective of the equipment development program is to develop, independent of pilo demonstration plants, new reliable coal injections systems for high pressure, char a withdrawl systems, solids monitoring instruments, valves and other key components re for coal processing.

- (1) Lock hoppers, sealing devices, valves and slurry pumps used to feed coal into pr reaction vessels have been found subject to frequent failure. Reliable feed dev are needed as well as reliable devices to discharge char and ash from the reacto
- (2) Improved equipment for filtration and separation/purification processes is neede
- (3) Instrumentation is needed for continuous monitoring and managing of solids and g in high temperature, high pressure systems.
- (4) Improved stack gas cleaning devices are needed, as described in the subprogram o environmental aspects of coal and shale processing and combustion.

B. Materials

The objective is to develop methods for service life prediction, test methods for ma and improved materials for coal processing equipment.

(1) Improved materials are needed which can withstand the highly erosive, corrosive temperature, high pressure environments used in conversion devices. Critical proper include:

- (a) Resistance to erosive wear
 - (b) Resistance to stress corrosion cracking
 - (c) Resistance to sulfidation and other general corrosion processes
 - (d) Stability when in contact with molten slag and coal ash/materials
 - (e) Resistance to various types of slow mechanical failure
 - (f) Insulating properties in firebox refractories
 - (g) Heat transfer characteristics in heat exchange tubes
- (2) Short-time methods are needed which will predict long-time mechanical durabilit reliability of materials in highly erosive, corrosive environments. Better meas and methods of measuring critical properties listed above in Section B.1, a-g, a needed to allow improved screening of materials used in conversion devices.

C. Data compilations, handbooks and analyses

The objective is to advance the development of coal processing by developing a techn design and economic information data base.

(1) Data collections and measurements are needed on

- (a) Properties of coal
- (b) Kinetic and thermodynamic data on process chemistry of coal conversion
- (c) Kinetic and thermodynamic data on sulfur compounds and other pollutants resulting from coal conversion

(2) Handbooks and evaluation of data for conversion processes

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and shale program could not be pursued economically or rationally without parallel development and supporting research in these areas. The chemical processes, kinetic data, equipment components and materials required in all the various types of shale processing systems have numerous common features and are not truly separable from one to another. Thus, these are all treated together in this sub-program.

The basic feasibility of producing gas and oil from coal and shale has already been established. The ultimate economic practicality of these energy sources may depend either on the development of new procedures for at least part of these processes or on the gradual improvement of existing processes, materials and equipment. Specific areas where technology development research are needed include:

1. Development

The objective of the equipment development program is to develop, independent of pilot and demonstration plants, new reliable coal injection systems for high pressure, char and ash handling systems, solids monitoring instruments, valves and other key components required for shale processing.

Hoppers, sealing devices, valves and slurry pumps used to feed coal into pressure reaction vessels have been found subject to frequent failure. Reliable feed devices are needed as well as reliable devices to discharge char and ash from the reactor.

Improved equipment for filtration and separation/purification processes is needed.

Instrumentation is needed for continuous monitoring and managing of solids and gases in high temperature, high pressure systems.

Improved stack gas cleaning devices are needed, as described in the subprogram on environmental aspects of coal and shale processing and combustion.

The objective is to develop methods for service life prediction, test methods for materials and to develop improved materials for coal processing equipment.

Improved materials are needed which can withstand the highly erosive, corrosive, high temperature, high pressure environments used in conversion devices. Critical properties

Resistance to erosive wear

Resistance to stress corrosion cracking

Resistance to sulfidation and other general corrosion processes

Stability when in contact with molten slag and coal ash/materials

Resistance to various types of slow mechanical failure

Stabilizing properties in firebox refractories

Heat transfer characteristics in heat exchange tubes

Test-time methods are needed which will predict long-time mechanical durability and stability of materials in highly erosive, corrosive environments. Better measurement methods of measuring critical properties listed above in Section B.1, a-g, are needed to allow improved screening of materials used in conversion devices.

2. Publications, handbooks and analyses

The objective is to advance the development of coal processing by developing a technical, economic information data base.

Additional collections and measurements are needed on

Properties of coal

Kinetic and thermodynamic data on process chemistry of coal conversion

Kinetic and thermodynamic data on sulfur compounds and other pollutants

Resulting from coal conversion

and evaluation of data for conversion processes

4

Level of Effort:

- MAXIMUM
 ORDERLY
 MINIMUM

IDENTIFICATION NUMBER

0505550310555602

6. JUSTIFICATION- State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem or attainment of the objective. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits expected to be derived from meeting the objectives or solving the problem for which the project is proposed. Outline the risks/uncertainties (R/U), plan to minimize R/U, and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

- (a) Engineering data books on coal conversion processes should be published
- (b) Computer modeling should be done to evaluate conversion processes and to where greater accuracy is needed in data.
- (3) Standard reference materials are needed to aid in chemical analyses for trace pollutants and toxic effluents from coal or shale conversion.
- (4) Central information center is needed to provide ready access, probably computer to all data related to coal conversion.
- (5) Analyses of economic and manpower implications of coal conversion programs are needed.

D. Catalysts and chemical kinetics for conversion processes.

Improved catalysts are needed for methanation, coal gasification and liquefaction. The chemical kinetics necessary for improved coal processing systems must be developed.

- (1) Methanation catalysts and processes need considerable work, including
 - (a) Mechanism of sulfur poisoning or trace metal poisoning of presently used catalysts and way of altering the catalyst or the process stream to avoid such poisoning
 - (b) Methods of increasing heat flow from catalyst
 - (c) Methods of allowing methanation catalysts to operate at a higher temperature to give good methane yield, including methods of preventing carbon deposition at higher temperatures.
 - (d) Alternate methods of methanation by catalysts flowing in a process stream for conversion of producer gas to methane in one step, and non-catalytic methods relying on vibrational excitation.
- (2) Catalysts for coal liquefaction processes need work to establish optimum conditions and to develop improved catalysts, including
 - (a) Cobalt molybdate catalysts for Synthoil process
 - (b) Catalysts to improve hydrocracking processes and the up-grading of coal liquids.
 - (c) Improved catalysts for Fisher-Tropsch process
 - (d) Other coal liquefaction catalysts
- (3) Catalysts for the shift reactions in high BTU processes should be optimized.
- (4) Basic studies of chemical kinetics are needed including studies on:
 - (a) Physical/chemical modifications of coal to control its reactivity (pretreatment of coal)
 - (b) Combustion of coal with pre-treatment or additives being applied to reduce pollutant emissions.
 - (c) Kinetics and mechanisms of hydrogenation, carbonization and methanation in conversion of coal
 - (d) Reaction of char with carbon dioxide flue gas to yield fuel
 - (e) Extractive-distillation process for ash/coal oil separation
 - (f) Determination of properties and constituents of coal-derived liquids and of polymerization and depolymerization.
 - (g) Development of liquid/solid separation methods by filtration, precipitation, centrifugation and use of hydrocyclones.
 - (h) Kinetics of reactions by solids in contact with gases, including fluidized bed, dilute phase or entrained beds, and fixed beds.
 - (i) Kinetics of coal agglomeration
 - (j) Side and co-product reactions from trace amounts of nitrogen and hydrogen

Level of Effort

- MAXIMUM
 MODERATELY
 MINIMUM

IDENTIFICATION NUMBER

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TION--State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem or the objective. Include reasons for selecting the recommended approach over other alternatives. Also include the benefits to be derived from meeting the objectives or solving the problem for which the project is proposed. Outline the risks/uncertainties to minimize R/U, and basis for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

Engineering data books on coal conversion processes should be published

Computer modeling should be done to evaluate conversion processes and to determine where greater accuracy is needed in data.

Standard reference materials are needed to aid in chemical analyses for trace pollutants and toxic effluents from coal or shale conversion.

Central information center is needed to provide ready access, probably computer-aided, to all data related to coal conversion.

Analyses of economic and manpower implication of coal conversion programs are needed.

Catalysts and chemical kinetics for conversion processes.

Improved catalysts are needed for methanation, coal gasification and liquefaction, and chemical kinetics necessary for improved coal processing systems must be developed.

Methanation catalysts and processes need considerable work, including

- (a) Mechanism of sulfur poisoning or trace metal poisoning of presently used nickel-based catalysts and way of altering the catalyst or the process stream to avoid such poisoning
- (b) Methods of increasing heat flow from catalyst
- (c) Methods of allowing methanation catalysts to operate at a higher temperature with good methane yield, including methods of preventing carbon deposition at higher temperatures.
- (d) Alternate methods of methanation by catalysts flowing in a process stream, direct conversion of producer gas to methane in one step, and non-catalytic methanation methods relying on vibrational excitation.

Catalysts for coal liquefaction processes need work to establish optimum conditions and develop improved catalysts, including

- (a) Cobalt molybdate catalysts for Synthoil process
- (b) Catalysts to improve hydrocracking processes and the up-grading of coal derived liquids.
- (c) Improved catalysts for Fisher-Tropsch process
- (d) Other coal liquefaction catalysts

Catalysts for the shift reactions in high BTU processes should be optimized.

Basic studies of chemical kinetics are needed including studies on:

- (a) Physical/chemical modifications of coal to control its reactivity (pretreatment of coal)
- (b) Combustion of coal with pre-treatment or additives being applied to reduce pollutant emissions.
- (c) Kinetics and mechanisms of hydrogenation, carbonization and methanation reactions in conversion of coal
- (d) Reaction of char with carbon dioxide flue gas to yield fuel
- (e) Extractive-distillation process for ash/coal oil separation
- (f) Determination of properties and constituents of coal-derived liquids and rates of polymerization and depolymerization.
- (g) Development of liquid/solid separation methods by filtration, precipitation, centrifugation and use of hydroclones.
- (h) Kinetics of reactions by solids in contact with gases, including fluidized beds, dilute phase or entrained beds, and fixed beds.
- (i) Kinetics of coal agglomeration

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- (4) Central information center is needed to provide ready access, probably computer-to all data related to coal conversion.
- (5) Analyses of economic and manpower implication of coal conversion programs are ne

D. Catalysis and chemical kinetics for conversion processes.

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 - (h) Kinetics of reactions by solids in contact with gases, including fluidiz dilute phase or entrained beds, and fixed beds.
 - (i) Kinetics of coal agglomeration
 - (j) Side and co-product reactions from trace amounts of nitrogen and hydroge product stream following methanation, in order to avoid ammonia formati

E. Process Development.

The objective is to advance the development of coal processing by research on the operations of coal processing (i.e., fluidized bed technology) and by developing processes for producing hydrocarbons from coal.

Processes which should be investigated include

- (1) Fast fluidized bed reactions for rapid carbonization or hydrogenation
- (2) Fluidized bed or entrained flow combustion reactions with sulfur scavengers
- (3) Improved plant "front end" technology, including new methods for handling, conv

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1 data related to coal conversion.

ses of economic and manpower implication of coal conversion programs are needed.

ysis and chemical kinetics for conversion processes.

ed catalysts are needed for methanation, coal gasification and liquefaction, and
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- (d) Other coal liquefaction catalysts

lysts for the shift reactions in high BTU processes should be optimized.

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- a) Physical/chemical modifications of coal to control its reactivity (pretreatment of coal)
- b) Combustion of coal with pre-treatment or additives being applied to reduce pollutant emissions.
- c) Kinetics and mechanisms of hydrogenation, carbonization and methanation reactions in conversion of coal
- d) Reaction of char with carbon dioxide flue gas to yield fuel
- e) Extractive-distillation process for ash/coal oil separation
- f) Determination of properties and constituents of coal-derived liquids and rates of polymerization and depolymerization.
- g) Development of liquid/solid separation methods by filtration, precipitation, centrifugation and use of hydrocyclones.
- h) Kinetics of reactions by solids in contact with gases, including fluidized beds, dilute phase or entrained beds, and fixed beds.
- i) Kinetics of coal agglomeration
- j) Side and co-product reactions from trace amounts of nitrogen and hydrogen in product stream following methanation, in order to avoid ammonia formation

ss Development.

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tions of coal processing (i.e., fluidized bed technology) and by developing new
sses for producing hydrocarbons from coal.

which should be investigated include

fluidized bed reactions for rapid carbonization or hydrogenation
fixed bed or entrained flow combustion reactions with sulfur scavengers
aged plant "front end" technology, including new methods for handling, conveyance
and storage of coal

4

ORDERLY
 MINIMUM

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DESCRIPTION: State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem (statement of the objective). Include reasons for selecting the recommended approach over other alternatives. Also include the benefits related to the proposal from meeting the objectives and the problems for which the project is proposed. Outline the risks, uncertainties (RU), plans to minimize RU, and basis for proceeding in face of RU. Quantitative data should be used to the fullest extent.

rying, surface oxidation, etc., processes.

- 5) Study of coal/oil slurries (formation, stability, flow characteristics, etc.)
- 6) Combustion of Chars and synthetic fuels
- 7) Acetylene from coal by the arc-coal process
- 8) Methods of handling coal fines in gasifiers
- 9) Methods for removal of char and tar from reactors
- 10) Unit operations involved in liquefaction
- 11) High pressure fluidized bed reactors
- 12) Multiphase flow characteristics in coal liquefaction
- 13) Useful byproducts from coal conversion processes
- 14) Emission control processes, as described in sub-program on environmental aspects of and shale processing and combustion

Hydrogen production for use in coal conversion processes

The objective is the development of low-cost on-site processes for producing hydrogen-rich gases from non-petroleum sources for near and mid-term coal and oil shale process

1. Candidate processes should be investigated on a laboratory scale first with a pilot plant only for the most promising techniques.

- (a) Steam-oxygen process for H_2 production from coal and residue chars (ready for pilot plant studies)
- (b) Methods using thermochemical cycles at low temperatures so that heat from methane or other process heat might be used to drive the H_2 reaction (laboratory scale or at this time)
- (c) Electrothermal generation from coal
- (d) Steam-iron process

is recommended spending rate for FY75 benefits and risks/uncertainties in each of the objectives areas A-F above are:

Equipment (\$2M in FY75)

1. Benefits--Frequent breakdown of equipment or inefficient operation coal and shale conversion plants because of poor equipment could easily raise costs 10%. If coal and shale became a primary source of U. S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/uncertainties--Development of equipment is subject to some uncertainties since high temperature reactors under pressure are often involved. Nevertheless, the possibility of significant achievement appears good, as high pressure technology in U. S. is an active field.

Materials (\$2 M in FY 75)

1. Benefits -- Fracture, erosion and corrosion of materials used in coal conversion might require unnecessarily frequent rebuilding of conversion reactors and their accessories and possibly cause frequent unexpected shut downs, all of which could easily raise costs 10%. If coal and shale conversion became a primary source of U. S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/Uncertainties -- Monitoring deterioration and measurement of properties of materials is a straight forward procedure. The major uncertainty here lies in whether new and improved test methods and materials can be developed. Based on previous experience, the possibility of significant achievement here appears good.

Data compilations, handbooks and analyses (\$1M in FY 75)

1. Benefits -- In designing pilot and demonstration plants, engineering data are needed on the construction materials used, coal itself and chemical processes. Economic analyses also are needed. Serious engineering problems and inefficient designs can result from lack of suitably analyzed data, which might lead to long delays in achieving suitable operation. Loss of time even in an orderly program is irreplaceable, when

MAXIMUM
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to the specific energy problem or objective, and specify how the proposed will contribute to the solution of the problem (benefits). Include reasons for selecting the recommended approach over other alternatives. Also include the benefits from meeting the objectives and the problems for which the project is proposed. Outline the risks/uncertainties of R/D, and basis for proceeding in face of R/D. Quantitative data should be used to the fullest extent.

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-iron process

d spending rate for FY75 benefits and risks/uncertainties in each of the
as A-F above are:

(\$ 2 M in FY75)

is--Frequent breakdown of equipment or inefficient operation coal and shale con-
1 plants because of poor equipment could easily raise costs 10%. If coal and shale
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ility of significant achievement appears good, as high pressure technology in the
is an active field.

(\$2 M in FY 75)

is -- Fracture, erosion and corrosion of materials used in coal conversion devices
require unnecessarily frequent rebuilding of conversion reactors and their
ories and possibly cause frequent unexpected shut downs, all of which could
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d oil, a 10% reduction in price would result in billions of dollars of cost
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Uncertainties -- Monitoring deterioration and measurement of properties of
his is a straight forward procedure. The major uncertainty here lies in
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is experience, the possibility of significant achievement here appears good.

lations, handbooks and analyses (\$1.1 in FY 75)

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construction materials used, coal itself and chemical processes. Economic
is also are needed. Serious engineering problems and inefficient designs can
from lack of suitably analyzed data, which might lead to long delays in achievin
e operation. Loss of time even in an orderly program is irreplaceable, whereat.

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- 11) High pressure fluidized bed reactors
- 12) Multiphase flow characteristics in coal liquefaction
- 13) Useful byproducts from coal conversion processes
- 14) Emission control processes, as described in sub-program on environmental aspects of coal and shale processing and combustion

Hydrogen production for use in coal conversion processes

The objective is the development of low-cost on-site processes for producing hydrogen-rich gases from non-petroleum sources for near and mid-term coal and oil shale processing.

1. Candidate processes should be investigated on a laboratory scale first with a pilot plant only for the most promising techniques.
 - (a) Steam-oxygen process for H₂ production from coal and residue chars (ready for pilot plant studies)
 - (b) Methods using thermochemical cycles at low temperatures so that heat from methanation or other process heat might be used to drive the H₂ reaction (laboratory scale only at this time)
 - (c) Electrothermal generation from coal
 - (d) Steam-iron process

3

no recommended spending rate for FY75 benefits and risks/uncertainties for each of the objectives areas A-F above are:

Equipment (\$ 2M in FY75)

1. Benefits--Frequent breakdown of equipment or inefficient operation coal and shale conversion plants because of poor equipment could easily raise costs 10%. If coal and shale became a primary source of U. S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/uncertainties--Development of equipment is subject to some uncertainties since high temperature reactors under pressure are often involved. Nevertheless, the possibility of significant achievement appears good, as high pressure technology in U. S. is an active field.

Materials (\$2 M in FY 75)

1. Benefits -- Fracture, erosion and corrosion of materials used in coal conversion dev might require unnecessarily frequent rebuilding of conversion reactors and their accessories and possibly cause frequent unexpected shut downs, all of which could easily raise costs 10%. If coal and shale conversion became a primary source of U. S. gas and oil, a 10% reduction in price would result in billions of dollars of cost savings.
2. Risks/uncertainties -- Monitoring deterioration and measurement of properties of materials is a straight forward procedure. The major uncertainty here lies in whether new and improved test methods and materials can be developed. Based on previous experience, the possibility of significant achievement here appears good.

Data compilations, handbooks and analyses (\$1.1 in FY 75)

1. Benefits -- In designing pilot and demonstration plants, engineering data are needed on the construction materials used, coal itself and chemical processes. Economic analyses also are needed. Serious engineering problems and inefficient designs can result from lack of suitably analyzed data, which might lead to long delays in achieving suitable operation. Loss of time even in an orderly program is irreplaceable, whereas inefficient design or the need for costly design could easily raise costs for pilot and demonstration plants by 10 to 50 per cent, equal to many millions of dollars.
2. Risks/uncertainties -- The collection and analysis of data although time-consuming is more certain of accomplishment than most R&D work.

Catalysts and chemical kinetics for conversion processes (\$ 3M in FY75)

1. Benefits -- Some of the most important reactions in coal and liquefaction depend on Catalysts with catalytic stages representing perhaps 30% the total cost in these processes. Even minor improvements in catalysis or in chemical processes would result in massive savings in coal and shale processing.
2. Risks/uncertainties -- As with all research programs, the fractional improvement that will be accomplished in this area cannot be definitely stated. Still definite-

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pressure fluidized bed reactors
base flow characteristics in coal liquefaction
byproducts from coal conversion processes
on control processes, as described in sub-program on environmental aspects of coal
ale processing and combustion

Production for use in coal conversion processes

Active is the development of low-cost on-site processes for producing hydrogen-
gas from non-petroleum sources for near and mid-term coal and oil shale processing.
Liquefaction processes should be investigated on a laboratory scale first with a pilot
plant for the most promising techniques.

Steam-oxygen process for H_2 production from coal and residue chars (ready for pilot
plant studies)

Methods using thermochemical cycles at low temperatures so that heat from methanation
or other process heat might be used to drive the H_2 reaction (laboratory scale only
at this time)

Microthermal generation from coal

Steam-iron process

Estimated spending rate for FY75 benefits and risks/uncertainties in each of the
areas A-F above are:

A (\$2M in FY75)

Risks--Frequent breakdown of equipment or inefficient operation coal and shale con-
version plants because of poor equipment could easily raise costs 10%. If coal and shale
were a primary source of U. S. gas and oil, a 10% reduction in price would
result in billions of dollars of cost savings.

Uncertainties--Development of equipment is subject to some uncertainties since
high temperature reactors under pressure are often involved. Nevertheless, the
possibility of significant achievement appears good, as high pressure technology in the
area is an active field.

B (\$2 M in FY 75)

Risks -- Fracture, erosion and corrosion of materials used in coal conversion devices
may require unnecessarily frequent rebuilding of conversion reactors and their
accessories and possibly cause frequent unexpected shut downs, all of which could
easily raise costs 10%. If coal and shale conversion became a primary source of U. S.
gas and oil, a 10% reduction in price would result in billions of dollars of cost
savings.

Uncertainties -- Monitoring deterioration and measurement of properties of
materials is a straight forward procedure. The major uncertainty here lies in
whether new and improved test methods and materials can be developed. Based on
previous experience, the possibility of significant achievement here appears good.
Pilot plants, handbooks and analyses (\$1M in FY 75)

Risks -- In designing pilot and demonstration plants, engineering data are needed
on construction materials used, coal itself and chemical processes. Economic
studies also are needed. Serious engineering problems and inefficient designs can
result from lack of suitably analyzed data, which might lead to long delays in achieving
stable operation. Loss of time even in an orderly program is irreplaceable, whereas
poor design or the need for costly design could easily raise costs for pilot
demonstration plants by 20 to 50 per cent, equal to many millions of dollars.

Uncertainties -- The collection and analysis of data although time-consuming
is more certain of accomplishment than most R&D work.

C and chemical kinetics for conversion processes (\$ 3M in FY75)

Risks -- Some of the most important reactions in coal and liquefaction depend on
catalysts with catalytic stages representing perhaps 30% the total cost in these
areas. Even minor improvements in catalysis or in chemical processes would result
in sizeable savings in coal and shale processing.

Uncertainties -- As with all research programs, the fractional improvement that
can be accomplished in this area cannot be definitely stated. Still definite

4

MAXIMUM
 QUALITY
 MINIMUM

IDENTIFICATION:

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C. JUSTIFICATION: State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem or attainment of the objective. Include reasons for selecting the recommended approach over other alternatives. Also outline the benefits expected to be derived by meeting the objective or solving the problem, for which the project is proposed. Outline the risks/uncertainties (R/U), plans to minimize R/U, and plans for proceeding in face of R/U. Quantitative data should be used to the fullest extent.

scientific breakthrough.

E. Process Development (\$5M in FY75)

1. Benefits -- Even in existing pilot plants, a number of individual processes been optimized. Individual optimization of various processes as listed above easily reduce costs by 10%. If coal and shale conversion become a primary U. S. gas and oil, a 10% reduction in price could result in billions of dollars cost savings.
2. Risks/uncertainties -- Development programs have inherent uncertainties, but listed in this section should yield significant results.

F. Hydrogen Production for use in Coal Conversion Processes (\$1M in FY75)

1. Benefits -- The economical production of hydrogen from residue chars or coal is essential for the economical development of the Synthoil coal liquefaction, the Hydrane and Hygas coal gasification processes. In general, sources of hydrogen are necessary for any process which converts coal to gas or oil, since the chief difference between coal and these other fuels is the deficiency of hydrogen.
2. Risks/uncertainties -- A number of hydrogen production processes can be shown to be technically feasible. The main uncertainty lies in the selection of an economical process for particular coal conversion systems.

MAXIMUM
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 MINIMUM

IDENTIFICATION NUMBER

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RATIONALE—State the specific energy problem or objective, and specify how the proposal will contribute to the solution of the problem (part of the objective). Indicate reasons for selecting the resources listed (especially power cost or other costs). Also indicate the benefits to be derived from meeting the objective or solving the problem for which the project is proposed. Outline the risks/uncertainties in minimizing RDU, and costs for proceeding in face of RDU. Quantitative data should be used to the fullest extent.

ific breakthrough.

Process Development (\$MM in FY75)

Benefits -- Even in existing pilot plants, a number of individual processes have not been optimized. Individual optimization of various processes as listed above could easily reduce costs by 10%. If coal and shale conversion become a primary source of U. S. gas and oil, a 10% reduction in price could result in billions of dollars of cost savings.

Risks/uncertainties -- Development programs have inherent uncertainties, but all items listed in this section should yield significant results.

Hydrogen Production for use in Coal Conversion Processes (\$MM in FY75)

Benefits -- The economical production of hydrogen from residue chars or coal is essential for the economical development of the Synthoil coal liquefaction process and the Hydrane and Hygas coal gasification processes. In general, sources of hydrogen are necessary for any process which converts coal to gas or oil, since the chief chemical difference between coal and these other fuels is the deficiency of hydrogen in coal.

Risks/uncertainties -- A number of hydrogen production processes can be shown to be technically feasible. The main uncertainty lies in the selection of an economic process for particular coal conversion systems.

2

ENERGY RESEARCH AND DEVELOPMENT FACT SHEET (Continued)

1. SCHEDULE (Include major facilities and major equipment. Indicate dates by Fiscal Year and Quarter).

2. DEVELOPMENT MILESTONES (number each consecutively)

(Limit Title of Milestone to 60 characters and spaces)

St

FY

(Continue to next column)

and Shale Processing Combustion
 ting Research

Level of Effort:
 MAXIMUM
 ORDERLY
 MINIMUM

IDENTIFICATION NUMBER
 05 05 55 03 10 55 56 02

STATES
 Complete
 FY Q

Decision
 a. ~~XXXXXXXXXX~~ MILESTONES (continued)
 (Limit Title of Milestone to 60 characters and spaces)

D DATES
 Start End
 FY Q FY Q

Decision Milestones for Individual Projects

- | | | | | |
|---|----|---|----|---|
| 1. Start of coal processing supporting research projects. | 75 | 1 | 77 | 1 |
| 2. Initial decision point on coal processing support projects. | 78 | 1 | 80 | 1 |
| 3. Decision point on second generation coal processing support. | 80 | 1 | 80 | 1 |

NOTE: Maximum, orderly and minimum programs differ primarily in level of effort. This results in varying the number of projects and the options explored but does not change the schedule of decision points for individual projects.

(Continue on separate sheet)

Page of

2

ENERGY RESEARCH AND DEVELOPMENT FACT SHEET (Continued)

9. SUMMARY OF FUNDING REQUIREMENTS—Federal Government Only (In millions of dollars)

Coal and Sh
Combustion

Requirement	(1)		(2)		(3)		(4)	
	FY 1974 (Non-Add)		FY 1975		FY 1976		FY 1977	
	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays
a. OPERATING (See p. for detail) Total Operating Requirements (from Detail Sheet)								
b. CONSTRUCTION (See p. for detail) Total Construction Requirements (from Detail Sheet)								
c. EQUIPMENT (See p. for detail) Total Equipment Requirements (from Detail Sheet)								
d. GRAND TOTAL—OBLIGATIONS	12		12		12		12	
e. GRAND TOTAL—OUTLAYS		12		12		12		12

NOTE: If cooperative programs are proposed, indicate the amount by year of both private and Federal government funding. A brief description should be separately provided in the above format.

Coal and Shale Processing and
Combustion Supporting Research

Level of Effort:

- MAXIMUM
- ORDERLY
- MINIMUM

IDENTIFICATION NUMBER

05 03 55 03 10 55 56 00

(3) FY 1976	(4) FY 1977		(5) FY 1978		(6) FY 1979		(7) Subtotal FY 1975-79		(8) Balance To Complete		(9) Total Expend FY 1974-1979	
	Outlays	Ob's.	Outlays	Ob's.	Outlays	Ob's.	Outlays	Ob's.	Outlays	Ob's.	Outlays	
		12		12		12		60		300		360
	12		12		12		12		60		300	360

Government funding. A brief description of the Cooperative programs and the rationale for the division of funding

2

ENERGY RESEARCH AND DEVELOPMENT FACT SHEET (Continued)

TABLE OF FUNDING REQUIREMENTS - Federal Government Only (in millions of dollars)

Coal and Shale Processing and Supporting Research

OPERATING

ITEM	(1)		(2)		(3)		(4)	
	FY 1974 (Non-Add)		FY 1975		FY 1976		FY 1977	
	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays
TOTAL (Carry forward to summary sheet) >	12	12	12	12	12	12	12	12
of Performing Organization:								
MANPOWER								
MATERIALS								
MAJOR PROCUREMENTS								
ALL OTHER								
TOTAL FOR THIS PERFORMING ORGANIZATION								
of Performing Organization:								
MANPOWER								
MATERIALS								
MAJOR PROCUREMENTS								
ALL OTHER								
TOTAL FOR THIS PERFORMING ORGANIZATION								
of Performing Organization:								
MANPOWER								
MATERIALS								
MAJOR PROCUREMENTS								
ALL OTHER								
TOTAL FOR THIS PERFORMING ORGANIZATION								
of Performing Organization:								
MANPOWER								
MATERIALS								
MAJOR PROCUREMENTS								
ALL OTHER								
TOTAL FOR THIS PERFORMING ORGANIZATION								

(Continue on Separate Sheet)

Coal and Shale Processing and Combustion
Supporting Research

Level of Effort:
 MAXIMUM
 MODERATE
 MINIMUM

IDENTIFICATION NUMBER
 05 05 55 03 10 55 5302

s.	(3) FY 1976		(4) FY 1977		(5) FY 1978		(6) FY 1979		(7) SUBTOTAL FY 1976-79		(8) BALANCE TO COMPLETE		(9) TOTAL BILLS AS FY 1976 END	
	Outlays	Obl.	Outlays	Obl.	Outlays	Obl.	Outlays	Obl.	Outlays	Obl.	Outlays	Obl.	Outlays	
	12	12	12	12	12	12	12	60	60	300	300	300	300	

(Continue on Separate Sheet)

Page of

2

ENERGY RESEARCH & DEVELOPMENT FACT SHEET (Continued)

3. DETAIL OF FUNDING REQUIREMENTS—Federal Government Only (in millions of dollars)

B. CONSTRUCTION

N/A ITEM	(1) FY 1974 (Non-REU)		(2) FY 1975		(3) FY 1976		(4) FY 1977							
	Obli.	Outlays	Obli.	Outlays	Obli.	Outlays	Obli.	C						
	TOTAL (Carry forward to summary sheet)													
<p>Title of Project, Location (State and County) and Total Estimated Cost (TEC) (in millions of dollars) (continuously). Every project costing one million dollars or more should be separately identified with a brief description of the project.</p> <p>TITLE OF PROJECT (Not to exceed 50 characters and spaces.) ()</p> <table border="1"> <tr> <td>State</td> <td>County</td> <td>TEC (in millions)</td> </tr> <tr> <td colspan="3">Statements:</td> </tr> </table>	State	County	TEC (in millions)	Statements:										
State	County	TEC (in millions)												
Statements:														
<p>TITLE OF PROJECT (Not to exceed 50 characters and spaces.) ()</p> <table border="1"> <tr> <td>State</td> <td>County</td> <td>TEC (in millions)</td> </tr> <tr> <td colspan="3">Statements:</td> </tr> </table>	State	County	TEC (in millions)	Statements:										
State	County	TEC (in millions)												
Statements:														
<p>TITLE OF PROJECT (Not to exceed 50 characters and spaces.) ()</p> <table border="1"> <tr> <td>State</td> <td>County</td> <td>TEC (in millions)</td> </tr> <tr> <td colspan="3">Statements:</td> </tr> </table>	State	County	TEC (in millions)	Statements:										
State	County	TEC (in millions)												
Statements:														

(Continue on Separate 5)

Level of Effort

- MAXIMUM
- ORDERLY
- MINIMUM

IDENTIFICATION NUMBER

05 05 55 03 10 55 56 0.

(4) FY 1977		(5) FY 1978		(6) FY 1979		(7) SUBTOTAL FY 1975-79		(8) BALANCE TO COMPLETE		(9) TOTAL OBL. FY 1975-79	
Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	Obls.	Outlays	Obls.	O.

2

ENERGY RESEARCH & DEVELOPMENT FACT SHEET (Continued)

DETAIL OF FUNDING REQUIREMENTS—Federal Government Only (In millions of dollars)

EQUIPMENT

ITEM <small>(Each item not to exceed 60 characters and spaces)</small>	(1) FY 1974 (Non-Add)		(2) FY 1975		(3) FY 1976		F Obl.
	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	
	TOTAL (Carry forward to summary sheet) ▶						
<small>on a non-performing organization, fiscal year-end funds, with a separate line item of each item of equipment purchased million dollars or more.</small>							
Included in 9a.							

(Continue on S.)

Level of Effort:
 MAXIMUM
 ORDERLY
 MINIMUM

IDENTIFICATION NUMBER
 05 05 55 03 10 55 36 02

(3) FY 1976		(4) FY 1977		(5) FY 1978		(6) FY 1979		(7) SUBTOTAL FY 1976-79		(8) BALANCE TO COMPLETE		(9) TOTAL ENCLINING FY 1976-1979	
Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.	Outlays	Obis.

(Continue on Separate Sheet)

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SUBPANEL 5

COAL AND SHALE PROCESSING AND COMBUSTION

APPENDIX A

MEMBERS AND EXECUTIVE SECRETARY OF SUBPANEL

Appendix A

Subpanel #V: COAL & SHALE PROCESSING & COMBUSTION

William Crentz Bureau of Mines Rm. 4560 - Interior Building U. S. Department of the Interior Washington, D. C. 20240	DOI	Chairman
Neal P. Cochran Office of Coal Research U. S. Department of the Interior Washington, D. C. 20240	DOI	
Dr. John Cowles Division of Applied Technology U. S. Atomic Energy Commission Washington, D. C. 20545	AEC	
Robert Hangebrauck CSL National Environmental Research Center Research Triangle Park, North Carolina 27711	EPA	
Dr. Gerald A. Hollinden Power Resources Planning Office of Power Tennessee Valley Authority Chattanooga, Tennessee 37401	TVA	
Dr. John Manning National Bureau of Standards U.S. Department of Commerce Washington, D. C. 20234	DOC	
Lewis G. Mayfield National Science Foundation Washington, D. C. 20550	NSF	
Dr. Alex Mills Bureau of Mines Rm. 4559 - Interior Building U. S. Department of the Interior Washington, D.C. 20240	DOI	
Rufus W. Shivers Division of Applied Technology U. S. Atomic Energy Commission Washington, D. C. 20545	AEC	Executive Secretary

SUBPANEL 5
COAL AND SHALE PROCESSING AND COMBUSTION
APPENDIX B
CONSULTANTS

Appendix B

Subpanel #V: COAL & SHALE PROCESSING & COMBUSTION

Consultants

John B. Anderson, Product Manager
Research and Development Sales
Combustion Engineering Company
Windsor, Connecticut 06095

L. Berkowitz, Director
ESSO Research and Engineering Company
Government Research Laboratory
Linden, New Jersey 07036

W. E. Bord, Vice President
Atlantic Richfield Company
Los Angeles, California

Arthur L. Conn, Director
Government Contracts
Research and Development Department
American Oil Company
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Whiting, Indiana 46394

R. G. Daniel
Atlantic Richfield Company
Los Angeles, California

Dr. Martin A. Elliot
Texas Eastern Transmission
Houston, Texas

Brian Harney
Bumines
Washington, D. C.

Dr. W. B. Harrison, Vice President
Southern Service, Inc.
Birmingham, Alabama

Jack Huebler
Institute Gas Technology
Chicago, Ill.

T. Kelly Janes
EPA
Research Triangle Park, North Carolina 27711

Harry Johnson
BuMines
Washington, D. C.

Martin Kyle
Argonne National Laboratory
Chicago, Illinois

Dr. B. G. McKinney
TVA
Chattanooga, Tennessee

Jere Nichols
Oak Ridge National Laboratory
Oak Ridge, Tennessee

John A. Phinney
Consolidation Coal Company
Liberty, Pennsylvania

Dr. Paul Pitts, V.P.
Atlantic Richfield Company
Philadelphia, Pennsylvania

Dr. Charles Prien
Colony Development Company
Denver, Colorado

H. M. Seigel, Manager
Synthetic Fuels Research Department
ESSO Research and Engineering Company
Florham Park, New Jersey 07932

Paul W. Spaite
6315 Grand Vista
Cincinnati, Ohio 45213

SUBPANEL 5

COAL AND SHALE PROCESSING AND COMBUSTION

APPENDIX D

PROJECT PROPOSALS CONSIDERED

PROPOSALS

APPENDIX D

Sub-Panel 5 Sub-program: Coal and Shale Processing and Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
1. Base Technology Coal Laboratory - Process Engineering	0501-1410-1055-0202-0293	AEC
2. Base Technology Coal Laboratory - Process Development	0501-1410-1155-0202-0191	AEC
3. Base Technology Coal Laboratory - Materials Technology	0501-0003-1055-0202-0186	AEC
4. Fluidized Bed Combustion	0501-1403-1185-0201-0817	AEC
5. Flash Hydrogenation of Coal	0501-1203-1055-0202-0188	AEC
6. Coal Structure and Reactivity	0502-0003-2055-0502-0189	DOI
7. Base Technology Coal Laboratory - Process Chemistry	0501-1410-2055-0202-0192	AEC
8. Base Technology Coal Laboratory - Process Instrumentation	0501-1103-1055-0202-0193	AEC
9. Process Development of a Fluidized-Bed System for Combined Shift and Methanation Reaction	0514-1405-1155-0302-0183	Bituminous Coal Research, Inc.
10. Early Optimization of HYGAS Process for Converting Coal to Gas	0515-1103-1185-0301-0183	Institute of Gas Technology

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
11. Equipment Standardization, Reliability and Maintainability Program	0522-1403-1055-0102-0143	DOI
12. Fisher-Tropsch Synthesis	0520-1202-1255-0101-0144	DOI
13. Methanol From Coal	0522-1203-1283-0101-0145	DOI
14. Clean Coke Process	0520-1203-1155-5501-0146	DOI
15. Direct Hydrogenation	0520-1203-1285-5501-0147	DOI
16. Demonstration of a Combined Coal Conversion Facility - the COED Process	0520-1203-1255-5501-0148	DOI
17. Commercialization of Coal Liquefaction	0501-1203-1281-0201-0185	AEC
18. Zinc Chloride Conversion Process Coal to Gasoline	0521-1103-1278-5501-0122	DOI
19. Demonstration BTU Gasification Plant - Construction and Operations	0521-1103-1283-0301-0123	DOI
20. Self-Agglomerating Process for Synthesis Gas Production	0521-1103-1180-0301-0124	DOI
21. Hydrogen Donor Extraction	0521-1203-1285-0101-0127	DOI

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
22 Simultaneous Stack Gas Emission Control and Wasterwater Purification	0514-0009-1278-0301-0128	Chemsoil Co.
23 N/A (Optimization of Coal Cleaning Circuits	0514-1403-2055-0302-0130	Bituminous Coal Research, Inc.
24. High Temperature Laboratory to Test Components to Contain Liquid Coal Ash Ingredients	0514-1403-1055-0303-0131	Bituminous Coal Research, Inc.
25. Electrostatic Benefi-ication of Fine Dry Coal	0514-1403-1155-0303-0132	Bituminous Coal Research, Inc.
26. 300 to 1000 Lbs/Hr Pulverized Coal-fired Research Boiler	0514-1403-1155-0303-0133	Bituminous Coal Research, Inc.
27. Effects of Coal Cleaning on Trace Element Removal	0514-1403-2055-0302-0134	Bituminous Coal Research, Inc.
28. Removal of SO ₂ from Industrial Stack Gases with Simultaneous Puri-fication of Waste Water	0504-0009-1279-5501-0135	NASA
29 Stack Gas Cleanup	0502-1409-1278-5502-0136	DOI
30 SO Removal Using Alkaline Ash Slurry	0522-1409-1178-5501-0137	DOI

Subpanel 5

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<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
31. Coal Liquefaction	0515-1203-1286-0301-0141	Inst. of Gas Technology
32. Coal Gasification for Production of Chemicals and Oils	0515-1103-1281-0301-0142	Inst. of Gas Technology
33. Multiple Process Testing - Cresap	0521-1203-1185-5501-0102	DOI
34. Coal Conversion Process Development	0521-1203-1255-5501-0103	DOI
35. Solvent Refined Coal (SRC)	0521-1203-1285-5501-0104	DOI
36. Overall Program Summary: "Clean Liquid Fuels:	0521-1203-1281-0101-0105	DOI
37. Coal Conversion Engineering Data Book	0521-1403-2055-0302-0106	DOI
38. Exploratory Research	0521-1403-1055-0302-0108	DOI
39. Hygas Process	0521-1103-1180-0301-0109	DOI
40. Application of Heat Pipes in Coal Conversion Plants	0521-1203-1155-0301-0110	DOI
41. Supporting Basic Research	0521-1403-1055-0302-0111	DOI

Subpanel 5

COAL & SHALE PROCESSING & COMBUSTION

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
42. Waste Disposal and Byproduct Recovery	0521-1403-1080-0302-0112	DOI
43. Characterization, Handling, and Pretreatment of Coal	0521-1403-1055-0302-0113	DOI
44. Improved Materials for Energy Conversion Systems—Firebox Refractories and Heat Exchanger Tubes	0522-1405-1055-0102-0120	DOI
45. Fluidized Bed Combustion Boilers—Development of a Fluid Bed Combustion Boiler Demonstration Plant	0507-1405-5555-0102-0121	TVA
46. Cost Estimation: Computer Program	0502-1203-2085-0102-0280	DOI
47. Production of Liquid Hydrocarbons from Coal	0514-1203-1283-0301-0092	Atomics Int'l
48. Advanced Hydrocarbon Syntheses	0521-0003-1255-5502-0093	DOI

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
49. Catalytic Hydrocracking Process	0521-1103-1255-5502-0094	DOI
50. Fluidized-Bed Boiler (Atmospheric)	0521-1405-1255-0101-0095	DOI
51. Pressurized Fluidized-Bed (Adiabatic)	0521-1405-1281-5501-0096	DOI
52. Pressurized Fluidized-Bed	0521-1405-1255-0101-0097	DOI
53. Pressurized Fluidized-Bed (Supporting Studies)	0521-1405-1255-0102-0098	DOI
54. Combustion of Chars and Synthetic Fuels	1522-1405-1055-0102-0099	DOI
55. Synthoil Process	0522-1203-1280-0101-0100	DOI
56. Solvent Refined Coal Pilot Plant	0521-1203-1185-0101-0101	DOI
57. Development and/or Operation of Processes to Generate Hydrogen Required in Coal Conversion Plants	0521-1103-1155-0302-0169	DOI
58. Materials Development	0521-1103-1085-0302-0170	DOI
59. Thermal Efficiency and Availability of Boilers Burning Western Coals and Lignite	0522-1105-1155-0302-0173	DOI
60. Effects of Coal Cleaning in Trace Element Removal	0514-1402-2055-0302-0175	Bituminous Coal Research, Inc.

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
61. 300 to 1000 Lbs/Hr Pulverized Coal-fired Research Boiler	0514-1405-1155-0303-0179	Bituminous Coal Research, Inc.
62. Electrostatic Beneficiation of Fine Dry Coal	0514-1403-1155-0303-0180	Bituminous Coal Research, Inc.
63. High Temperature Laboratory to Test Components to Contain Liquid Coal Ash Ingredients	0514-1403-1055-0303-0181	Bituminous Coal Research, Inc.
64. Optimization of Coal Cleaning Circuits	0514-1403-1155-0303-0182	Bituminous Coal Research, Inc.
65. Novel Liquefaction Methods	0522-1203-1155-5501-0163	DOI
66. Development of the BI-GAS Coal Gasification Process for the Generation of Substitute Natural Gas	0521-1103-1180-0301-0164	DOI
67. The Investigation and Development of a Liquid Phase Methanation Process	0521-1103-1180-0302-0165	DOI
68. Equipment Development	0521-1103-1080-0302-0166	DOI
69. Development of the Carbon Dioxide Acceptor Coal Gasification Process	0521-1103-1180-0302-0167	DOI
70. Synthane Process for Gasifying Coal to Substitute Natural Gas (SNG)	0522-1103-1180-0301-0168	DOI
71. Hydrane Process for Hydrogasification of Coal to SNG	0522-1103-1182-0101-0161	DOI
72. Process Development of a Fluidized-bed System for Combined Shift and Methanation Reactions	0514-1103-1155-0202-0178	Bituminous Coal Research, Inc.

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
73 Nuclear Heat Coal Gasification	0522-1103-1055-0101-0162	DOI
74 Sulfur Dioxide Removal "Joint EPA/TVA Ammonium-Bisulfate Program	0522-1103-1055-0101-0162	EPA
75 Second Generation: Advanced Technology	0521-1203-1185-0101-0154	DOI
76 Liquefaction Engineering Evaluations	0521-1203-1285-5502-0155	DOI
77. Fixed-Bed Hydrogenation	0521-1203-1285-5501-0156	DOI
78. Production of Chemicals from Coal	0521-1203-1285-0101-157	DOI
79. Centrifugal Hydrogenation	0521-1203-1285-0101-0158	DOI
80 Extension of the Char-Oil-Energy-Development (COED) Program for the Conversion of Coal	0521-1203-1285-0102-0159	DOI
81 Steam-Iron Process for Hydrogen Production	0521-1103-1180-0301-0161	DOI
82 Financial Aspects of Coal Processing Commercialization	0521-1103-2055-0102-0171	DOI
83 Fuel Derived from Coal	0532-1408-1055-0131-0373	DOD
84. Utilizing Devices and Testing Equipment using a New Synthetic Fuel Derived from Coal	0532-1468-1080-5503-0374	DOD
85. Sulfur Dioxide Removal, "TVA's 1-MW Pilot Plant Operation and Supporting Research to Define the Most Economical SO ₂ Removal Processes" ²	0507-1405-1155-0102-0151	TVA

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
86 Sulfur Dioxide Removal "TVA's 10-MW Prototype Wet Scrubbing Program"	0507-1405-1155-0101-0152	TVA
87. Base Technology Coal Laboratory - Component Development	0501-0003-1055-0202-0190	AEC
88. Evaluation	0521-1103-1180-0302-0139	DOI
89 Fluidized Bed Com- bustion (Supporting Study)	0521-1405-2055-0102-0126	DOI
90. Commercialization of Coal Processing	0521-1103-2055-0302-0177	DCI
91. Hydrocarbon Byproducts	0521-1103-1155-0301-0176	DOI
92. Durability and Relia- bility of Materials for Clean Energy from Coal	0503-1403-1055-0102-0681	DOC
93. Environmental Problems of Clean Energy from Coal	0503-1403-2055-0102-0680	DOC
94. Coal Processing	0505-1403-1279-0103-0750	EPA
95. Evaluation, Develop- ment and Demonstration for Shale Oil and Alternate Sources of Fuel	0505-2203-1285-0103-0751	EPA

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
96. Air Pollution Control Technology - Development of a Cleaned, Solid Fuel via Chemical Treatment of Coal	0505-1403-1280-5503-0752	EPA
97. Air Pollution Control Technology - Fuel Processing Control of Fine Particulates from Flue Extraction Processing Plants	0505-1403-1279-0102-0753	EPA
98. Air Pollution Control Technology - Energy Conservation and Environmental Improvement by the Recovery of Petroleum Refinery Hydro-carbon Losses	0505-2103-2055-5502-0754	EPA
99. Environmental Control in Pulverized Coal Combusting	0502-1405-1055-0102-0756	DOI
100. Physical and Chemical Removal of Sulfur from Coals	0522-1403-1055-0102-0757	DOI
101. Separation Technology	0521-0003-1155-5501-0620	DOI
102. Liquid Fuels from Oil Shale Synthetic Liquid Fuels from Coal: Homogenous Catalytic and Solvation Processes	0532-2202-1055-0302-0763	DOD
103. Development of Jet Fuels from Coal Liquefaction Processes	0533-1203-1055-0102-0795	DOD
104. Research on Combustion of Coal in a Fluidized Bed	0504-1410-1055-0103-0760	NASA
105. High Gradient Pre-conversion Magnetic Separation	0521-1402-1180-5501-0761	DOI

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
106. Pressurized Fluidized Bed (Research)	0521-1402-1295-0103-1762	DOI
107. Air Pollution Control Technology-Combustion Fossil Fired Furnace using Pure Oxygen Instead of Air (Coal or Oil) (1) Process or product development	0505-1405-1281-0102-0730	EPA
108. Air Pollution Control Technology Disposal of High Sulfur Refinery Residues by Gasification in a Fluidized Bed and Combustion in a Boiler	0505-2105-1279-5501-0733	EPA
109. Air Pollution Control Technology - Flue Gas Cleaning Electric Power Generation from Coal Combustion with Adequate Fine Particulate Control using Electrostatic Precipitators	0505-1405-1278-5503-0734	EPA
110. Air Pollution Control Technology Flue Gas Cleaning Electric Power Generation from Coal Combustion with Adequate Fine Particulate Control Using Fabric Filter Systems	0505-1405-1279-5503-0735	EPA
111. Air Pollution Control Technology - Flue Gas Cleaning Electric Power Generation from Coal Combustion with Adequate Fine Particulate Control by Development and Use of Novel Devices	0505-1405-1276-5503-0792	EPA

Subpanel 5

Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
112. Air Pollution Control Technology-Flue Gas Cleaning Electric Power Generation from Coal Combustion with Adequate Fine Particulate Control Using Low Energy Scrubber Systems	0505-1405-1278-5503-0736	EPA
113. Air Pollution control Technology-Flue Gas Cleaning	0505-1405-1277-5501-0737	EPA
114. Air Pollution control Technology-Flue Gas Cleaning Demonstration of the combined Wellman-Lord/Allied Flue Gas Desulfurization Process with Modifications to Minimize Energy Consumptions	0505-1405-1279-5503-0738	EPA
115. Air Pollution Control Technology-Flue Gas Cleaning Demonstration of a Coal-fired Utility flue Gas Desulfurization Process Integrated with the Power Cycle for Maximum Energy Conservation and Utilization	0505-1405-1280-5502-0739	EPA
116. Increased Utilization of Fossil fuel Reserves as an Energy Source through Adequate <u>Control of Gaseous Toxic and Carcinogenic Emissions</u>	0505-1405-1279-5503-0740	EPA
117. Air Pollution Control Technology- Development and Demonstration of Modified Combustion Technology for Utility Boilers	0505-1405-1278-5503-0741	EPA
118. Air Pollution Control Technology-Fluidized Bed Combustion	0505-1405-1276-5501-0742	EPA

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Coal & Shale Processing Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
119. Air Pollution Control Technology Development and Demonstration of Modified Combustion control Technology for Utility Gas Turbines	0505-3105-1283-5503-0743	EPA
120. Clean-Fuel Combustion Technology for Power Systems Low-NO _x Combustion of Reformed Fuels	0504-2105-2080-0102-0746	NASA
121. Control Technology By-Product Utilization Development and Demonstration of Technology for the Production of Elemental Sulfur from Regenerable Flue Gas Desulfurization Systems by Use of Alternate Reductants	0505-8209-1278-5502-0717	EPA
122. Control Technology By-Product Utilization Using Sulfur By-Products from Flue Gas Desulfurization of Coal-Fired Electric Utilities to Supplant Other Materials Requiring Energy to Produce	0505-0009-1295-5502-0716	EPA

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Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
123. Alternative Energy Sources High Sulfur Combustor Power Boiler Demonstration	0505-1409-1285-5501-0718	EPA
124. Water Pollution Control Technology-Use of Chars in Wastewater Treatment	0505-1409-1255-5503-0720	EPA
125. Air Pollution Control Technology Development and Demonstration of Modified Combustion Technology for Industrial Process Furnaces	0505-1408-1280-5502-0721	EPA
126. Air Pollution Control Technology Flue Gas Cleaning Development and Demonstration of Flue Gas Desulfurization Process for Application to Coal-Fired Industrial Boilers	0505-1407-1275-5501-0722	EPA
127. Air Pollution Control Technology - Industrial Boilers	0505-1407-1277-5503-0723	EPA
128. Air Pollution Control Technology Development and Demonstration of Modified Combustion Technology for Industrial Boilers	0505-1407-1277-5503-0724	EPA

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Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
129. Air Pollution Control Technology-Flue Gas Cleaning Minimizing Energy Required Desulfurization of Flue Gas from Coal Fired Utility Boilers	0505-1405-1278-5502-0726	EPA
130. Air Pollution Control Technology-Flue Gas Cleaning Demonstration of an Advanced Regenerable Flue Gas Desulfurization Process for Coal-Fired Utility Boilers	0505-1405-1280-5502-0727	EPA
131. Air Pollution Control Technolgy-Flue Gas Cleaning Lime/Limestone Scrubbing of Flue Gas-Advanced Process Development and Waste Disposal Evaluation/Demonstration	0505-1405-1276-5501-0728	EPA
132. Fluidized Bed Oil Gasification: Utilization of High Sulfur Refinery Residue for Clean Power Generation by Fluidized Bed Gasification Desulfurization	0505-2403-1078-0302-0917	EPA

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Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identificational Number</u>	<u>Proponent</u>
133. Simultaneous SO ₂ -NO _x Removal	0522-1402-1278-0101-0948	DOI
134. Fluidized Bed Combustion Boiler	0514-1405-1280-0301-0909	Westinghouse EPA, OCR, TVA Argonne NL, OR, NL, Esso, BCURA, etc.
135. Simultaneous Catalytic Reduction of SO _x and NO _x in Stack Gas.	0514-0005-2055-0302-1088	AIF-Combustion DIV.
136. Coal Liquefaction	0514-1103-1055-0303-1093	AIF-Combustion DIV.
137. Synthetic Pipeline Gas from Coal	0514-1103-1055-0303-1093	AIF-Combustion Division

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Coal & Shale Processing & Combustion

<u>Sub-program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
138. Air Pollution Control Technology Fuel Gas Cleaning fine Particulates- Commercial & Home Heating Units	0505-1407-1278-5502-0896	EPA
139. Chemistry of Process reactions for Clean Energy from Coal	0503-1403-1079-0102-0682	DOC
140. Uranium Recovery from Coal Ash & Other Waste Pro- ducts	0514-4101-1053-0302-1048	ANS
141. Research in Support of Converting Coal to Clean Liquid & Gaseous Fuels	0501-1203-1055-0202-0633	AEC
142. Hydrogen From Coal	0522-1103-1180-0301-0599	DOI
143. Hydrogen Production by Coal Gasification	0504-0003-1255-0101-0601	NASA
144. Catalyst Development	0508-1410-1055-5502-0528	NSF
145. Improved Gas/Solid/ Liquid Separation Systems	0508-1410-1055-5503-0526	NSF
146. Process Research	0521-0003-2055-5502-0614	DOI

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Coal and Shale Processing and Combustion

<u>Sub-Program Title</u>	<u>Identification Number</u>	<u>Proponent</u>
147. Utilization of a Sludge-Type Waste Produced through Neutralization of Acid Coal Mining Drainage	0514-1409-2055-0302-0033	DOI
148. Reclamation of Coal Mining Waste Areas	0522-1402-2072-5502-C040	DOI
149. Support Studies for Mining Systems Tech.	0521-1410-5501-1072-0041	DOI
150. Production of Hydrogen, Methane and Methanol from Coal	0514-1103-1283-0301-0598	IND
151. Acetylene from Coal by the Arc Coal Process	0521-1103-1155-0101-0236	DOI
152. Development of Central Fuel Processing and Cleanup Techniques	0514-3305-1077-0301-0261	IND
153. Utilization of Coal in Intermediate and Small-Sized Power Plants	0532-1405-1055-0302-0713	DOD
154. Hydrogen Production by Thermochemical Process	0504-0003-1155-0202-0602	NASA
155. Flue-Gas Cleanup System Waste Management	0514-1405-1255-0303-1075	IND
156. Innovative Approaches to Coal Conversion	0508-1410-1055-5503-0522	NSF
157. Fundamentals of Chemical-Physical Processes and Properties of Coal	0508-1410-1055-5503-0523	NSF
158. Utilization and Disposal of Conversion Plant Wastes	0521-8209-2055-5502-0043	DOI

APPENDIX D

B. PROPOSAL ASSIGNMENT BY SUBPROGRAM

<u>Subprogram</u>	<u>Proposals</u>
1. Pipeline Quality Gas from Coal	9, 19, 20, 39, 66, 67, 69, 70, 71, 81, 88, 137
2. Clean Burning Liquids from Coal	5, 12, 13, 14, 15, 16, 17, 18, 21, 31, 32, 33, 34, 47A, 47B, 55, 56, 65, 75, 76, 77, 78, 80, 83, 84, 103, 136
3. Oil Shale Processing and Development	102A
4. Improved Combustion Process	4, 45, 50, 51, 52, 53, 59, 104, 106, 117, 118, 119, 120, 125, 128, 153
5. Improved Environmental Control	29, 30, 42, 74, 85, 86, 94, 95, 96, 97, 98, 99, 100, 105, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 121, 122, 123, 124, 126, 127, 129, 130, 131, 132, 133, 138, 140, 145, 147, 148, 155, 158
6. Support Research to Achieve Coal and Shale Processing and Combustion Program Obligations	2, 3, 6, 7, 8, 11, 24, 37, 38, 40, 41, 43, 44, 46, 48, 49, 54, 57, 58, 68, 73, 82, 87, 90, 91, 92, 93, 135, 139, 141, 142, 143, 144, 146, 149, 151, 154, 156, 157

Note: Rejected Proposals not listed above.

APPENDIX D

Subpanel #V: COAL & SHALE PROCESSING & COMBUSTION

LIST OF REJECTED PROPOSALS

Subprogram I Pipeline Quality Gas from Coal

No. 10 work is covered in No. 39

No. 72 is a duplicate of No. 9

Subprogram II Clean Burning Liquids from Coal

No. 35 is a duplicate of No. 34

No. 36 is a summary only and therefore rejected

No. 79 is a duplicate of No. 5

No. 102B covers catalytic process of liquid from coal.

Insufficient data is presented to judge the merits of
the proposal.

Subprogram IV Improved Combustion Processes

No. 26 - The proposed effort was to construct a pulverized
fired test boiler. A similar facility with the same
capabilities is currently in operation. Proposal is
rejected as unnecessary.

No. 61 is a duplicate of No. 26

No. 89 is a duplicate of No. 53

No. 134 is a duplicate of No. 45

Subprogram V Improved Environmental Control

No. 22 was rejected on basis of very low applicability if technology was developed.

No. 23 proposed effort would not advance technology to a degree that is not available or is being developed.

No. 25 was rejected as technology has primarily been investigated. The potential does not warrant support. Other technology that would achieve improved results are more advanced in development.

No. 27 work is already being developed.

No. 28 is similar to No. 22 and rejected on the same basis -- questionable technology and low potential.

No. 60 is a duplicate of No. 27

No. 62 is a duplicate of No. 25

No. 64 is a duplicate of No. 23

Subprogram VI Support to Achieve Coal and Shale Processing and Combustion Program Objectives

No. 1 is a duplicate of No. 2

No. 63 is a duplicate of No. 24

No. 101 addresses the problem of using perm selective membrane for separating and upgrading hydrocarbon production. Conventional distillations processes are judged to be satisfactory for this purpose. Cost of research does not match benefits.

No. 152 supported work in development of central fuel processing and cleanup techniques for application in fuel cells. The panel could not identify specifics of the proposal and did not feel that the work even if specific, merited consideration.

No. 154 Hydrogen production by thermochemical processes. Initial phase of program was included to determine economic feasibility. Balance of program was not recommended.

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